## Edgar Filing: SHOOK MARK R - Form 4

SHOOK MARK R Form 4 November 01, 2002

OMB APPROVAL

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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### FORM 4

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

O Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue.
See Instruction 1(b).

Name and Address of Reporting Person*		Issuer Name and Ticker or Trading Symbol		I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)		
Shook, Mark R.		The Dial Corporation (DL)				
(Last) (First) (Middle)	<del>-</del>					
15501 North Dial Boulevard Suite 2212		Statement for Month/Day/Year		If Amendment, Date of Original (Month/Day/Year)		
(Street)		10/30/2002		Individual or Joint/Group Filing (Check Applicable Line)		
	6. Relationship of Reporting Person( to Issuer (Check All Applicable)		7.			
Scottsdale, AZ 85260-1619 United States		O Director O 10% Owner		þ	Form Filed by One Reporting Person	
(City) (State) (Zip)		þ Officer (give title below)		0	Form Filed by More than One Reporting	
		O Other (specify below)			Person	
		Executive Vice President-International & Business				

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### Development

Reminder:	Report on a separate line for each class of securities beneficially owned directly or indirectly.
*	If the form is filed by more than one reporting person, see instruction 4(b)(v).

Title of Security (Instr. 3)	2. Transaction 2.A Date (Month/Day/Year)	A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction4 Code (Instr. 8)	Securities Ac Disposed of ( (Instr. 3, 4 and	D)	ed (A) or	5.Amount of Sec- urities Beneficially Owned Following Reported Trans- action(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficia Ownershi (Instr. 4)
			Code V	Amount	(A) or (D)	Price			
The Dial Corporation Common Stock	10/30/2002		M	18,352.00	A	11.1531		D	
The Dial Corporation Common Stock	10/30/2002		М	3,648.00	A	11.9108		D	
The Dial Corporation Common Stock	10/30/2002		M	46,667.00	A	13.4688		D	
The Dial Corporation Common Stock	10/30/2002		M	43,333.00	A	14.8438		D	
The Dial Corporation Common Stock	10/30/2002		S	112,000.00	D	21.50	0.00	D	
The Dial Corporation Common Stock							125,592.00	I	By Trust
The Dial Corporation Common Stock(401(K) Stock Holdings)							10,565.95	I	By 401(k) Savings Plan

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Title of Derivative 2. Security (Instr. 3)	Conversion or Exercise 3. Price of Derivative Security	Transaction 3A Date (Month/Day/Year)	Deemed Execution 4. Date, if any (Month/Day/Year)	Transaction 5. Code (Instr. 8)		a) or Disposed of (D
				Code V	(A)	( <b>D</b> )
Options - Right to Buy	11.1531	10/30/2002		M		18,352.00
Options - Right to Buy	11.9108	10/30/2002		M		3,648.00
Options - Right to Buy	14.8438	10/30/2002		M		43,333.00
Options - Right to Buy	13.4688	10/30/2002		M		46,667.00
Options - Right to Buy	29.7188					
Phantom Stock Units	1-for-1					
Phantom Stock Units	1-for-1					

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned Continued (e.g., puts, calls, warrants, options, convertible securities) 7. Title and Amount 8. Price of 9. Number of Derivative Securities 10. Ownership Form of 11. Nature of 6. Date Exercisable and **Expiration Date** of Underlying Derivative **Beneficially Owned Following Derivative Security:** Indirect (Month/Day/Year) Securities Security Reported Transaction(s) Direct (D) or Beneficial (Instr. 3 and 4) Indirect (I) Ownership (Instr. 5) (Instr. 4) (Instr. 4) (Instr. 4) Amount or **Expiration** Number of Date Exercisable Date Title Shares The Dial Corporation Common 08//17/2004 0.00 D Stock 18,352.00 11.1531 The Dial Corporation Common 08/16/2005 0.00 D Stock 3,648.00 11.9108 The Dial Corporation Common 03/01/2010 Stock 43,333.00 14.8438 21,667.00 D The Dial Corporation Common 01/25/2011 46,667.00 93,333.00 D Stock 13.4688 The Dial Corporation Common D (2) 01/20/2010 50,000.00 Stock The Dial Corporation Common Stock 1,810.69 D The Dial Corporation Common Stock 15,250.35 D

#### **Explanation of Responses:**

<sup>1.</sup> Stock held in revocable trust with reporting individual and spouse as beneficiaries and trustees.

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2. Granted pursuant to the 1996 Stock Incentive Plan. Options vest 1/3 after the stock price increases 20% over grant price, but only if 1 year has passed since grant date; 1/3 after the stock price increases 40%, but only if 2 years have passed since grant date; and the final 1/3 after the stock price increases 60%, but only if 3 years have passed since grant date. All options vest in the event of a change in control or 5 years from the grant date. Each option contains a Change in Control Cash-Out whereby, in the event of a change in control, the participant may elect to surrender all or part of the stock option to the Corporation and to receive cash in an amount equal to the amount by which the Change in Control Price per share of stock shall exceed the exercisable price per share of stock option multiplied by the number of shares of stock granted under the stock option.

/s/ Lucinda K. Stewart, Attorney-In-Fact	11/1/02
**Signature of Reporting Person	Date

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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