

Edgar Filing: RAND CAPITAL CORP - Form 8-K

RAND CAPITAL CORP
Form 8-K
February 05, 2004

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

Current Report Pursuant to Section 13 or 15 (d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported):

February 4, 2004

Rand Capital Corporation
(Exact Name of registrant as specified in its charter)

| | | |
|---|--------------------------------------|---|
| New York (State or other jurisdiction of incorporation) | 811-1825 (Commission File Number) | 16-0961359 (IRS Employer Identification Number) |
|---|--------------------------------------|---|

2200 Rand Building
Buffalo, New York 14203
(Address of principal executive offices and zip code)

Registrant's telephone number, including area code: (716) 853-0802

Item 7: Financial Statements and Exhibits

- (a) Not Applicable
- (b) Not Applicable
- (c) Exhibits

| Exhibit No. ----- | Description ----- |
|----------------------|--------------------------------------|
| 99.1 | Press release dated February 4, 2004 |

Item 9: Regulation FD Disclosure

In accordance with interim guidance of the Securities and Exchange Commission, this disclosure pursuant to Item 12 of Form 8-K is made under Item 9.

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On February 4, 2004, Rand Capital Corporation issued a press release announcing its net asset value for the quarter ended December 31, 2003. The text of the press release is included as an exhibit to this Form 8-K. Pursuant to the rules and regulations of the Securities and Exchange Commission, such exhibit and the information set forth therein and herein is deemed to be furnished and shall not be deemed to be filed.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: February 4, 2004

Rand Capital Corporation

By: /s/ Daniel P. Penberthy

Daniel P. Penberthy
Executive Vice President/Chief
Financial Officer

EXHIBIT INDEX

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