

Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 4

LIGHTPATH TECHNOLOGIES INC

Form 4

August 30, 2002

OMB APPROVAL

FORM 4

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden
hours per response 0.5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

[ ] Check this box if no longer subject of Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

Fitch Mark
(Last) (First) (Middle)
3819 Osuna NE
(Street)
Albuquerque NM 87109
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
LPTH

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year
August 2002

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
[ ] Director [ ] 10% Owner
[ ] Officer (give title below) [X] Other (specify below)
Senior VP

7. Individual or Joint/Group Filing (Check applicable line)

Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 4

[x] Form filed by One Reporting Person  
 [ ] Form filed by More Than one Reporting Person

Page 1 of 3

Form 4 (continued)

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Title of Security (Instr. 3)	Transaction Date (mm/dd/yy)	Transaction Code	V	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount or Price (D)	Amount of Secur- ities Bene- ficial Owned End of Mon (Instr 3 and
				(A)	(D)		
Class A common stock (1)	08/01/02	A	V	33,525	A	\$0.57	53

1) Restrict Stock Award which vests over 2 to 4 years.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

PERSONS WHO RESPOND TO THE COLLECTION OF INFORMATION CONTAINED IN THIS FORM ARE NOT REQUIRED TO RESPOND UNLESS THE FORM DISPLAYS A CURRENTLY VALID OMB CONTROL NUMBER.

Page 2 of 3

Form 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	Number
--	--------

Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 4

Title of Derivative Security (Instr. 3)	Conversion of Exercise Price of Deriv- ative Security (Instr. 3)	Trans- action Date (Month/ Day/ Year)	Trans- action Code (Instr. 8)	V	of Deriv- ative Secur- ities Acquired (A) or Disposed of (D)	Date Exercisable and Expiration Date (Month/Day/Year)	Date Exercisable and Expiration Date (Month/Day/Year)	Title and Amount of Underlying Securities (Instr. 3 and 4)	Price of Deriv- ative Secur- ity (Instr. 5)
Stock Option (3)	7.875	8/1/02	D	V	12500	10/1/98	10/08	Common	12500
Stock Option (3)	11.63	8/1/02	D	V	16000	1/3/02	1/12	Common	16000
Stock Option (3)	16.59	8/1/02	D	V	20000	4/14/01	4/11	Common	20000

3) Option Cancelled.

Explanation of Responses:

/s/ Mark Fitch 8/30/02  
-----  
\*\*Signature of Reporting Person Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedures.  
Potential persons who are to respond to the collection of information  
contained in this form are not required to respond unless the form displays  
a currently valid OMB Number. Page 3 of 3