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ELMS STEVE Form 3 April 09, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: **SECURITIES**

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

A Aisling Capital IV, LP

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

03/29/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Zosano Pharma Corp [ZSAN]

(Check all applicable)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

888 SEVENTH AVENUE, 12TH FLOOR,Â

(Street)

Director Officer (give title below) (specify below)

_X__ 10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

NEW YORK, NYÂ 10106

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock, par value of \$0.0001

1,600,000

 $D^{(1)}$

SEC 1473 (7-02)

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Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of Securities Underlying

Conversion or Exercise Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Derivative Security (Instr. 4) Title

Derivative Security: Direct (D) Security

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Date	Expiration	Amount or	or Indirect
Exercisable	Date	Number of	(I)
		Shares	(Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
FG	Director	10% Owner	Officer	Other
Aisling Capital IV, LP 888 SEVENTH AVENUE, 12TH FLOOR NEW YORK, NY 10106	Â	ÂX	Â	Â
ELMS STEVE C/O AISLING CAPITAL LLC 888 SEVENTH AVE., 12TH FLOOR NEW YORK, NY 10106	Â	ÂX	Â	Â
SCHIFF ANDREW N C/O AISLING CAPITAL LLC 888 SEVENTH AVE., 12TH FLOOR NEW YORK, NY 10106	Â	ÂX	Â	Â

Signatures

See Signatures on Exhibit 99.1 04/09/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The securities are directly held by Aisling Capital IV, LP ("Aisling"), and may be deemed to be beneficially owned by Aisling Capital

 Partners IV, LP ("Aisling GP"), as general partner of Aisling Capital Partners IV LLC ("Aisling Partners"), as general partner of Aisling GP, and each of the individual managing members and partners (collectively, the "Managers") of Aisling GP and Aisling Partners. The Managers of Aisling Partners are Dr. Andrew Schiff and Steve Elms.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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