### Edgar Filing: CARNIVAL PLC - Form 4

CARNIVAL PLC Form 4 January 21, 2005 <ul> <li>FORM 4 January 21, 2005</li> <li>FORM 4</li> <li>UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549</li> <li>Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).</li> </ul> <ul> <li>TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES</li> <li>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Suiden to Section 17(a) of the Public Utility Holding Company Act of 1935 or Securities Second 1934, 30(h) of the Investment Company Act of 1935 or Securities</li> </ul> <ul> <li>Main and an an and an an an and an an and an an an and an an</li></ul>										
(Print or Type F	(esponses)									
ARISON MICKY MEIR Symbol			Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	liddle)	3. Date of	Earliest T	ransaction			(Chec	ck all applicat	ole)
3655 N.W. 87 AVENUE         (Month/D: 01/19/20			-				_X_ Director _X_ 10% Owner _X_ Officer (give titleOther (specify below) below) Chairman and CEO			
				ndment, Date Original hth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>		
(City)	(State)	(Zip)	Table	e I - Non-I	Derivative (	Secur	ities Acm	uired, Disposed of	f. or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deema Execution any (Month/Da	ed Date, if	3. Transactic Code (Instr. 8)	4. Securit or(A) or Dis (Instr. 3, 4	ies Ac sposed 4 and 3 (A) or	quired l of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Ordinary Shares				Code V	Amount	(D)	Price	0	D	
Trust Shares (beneficial interest in special voting share) $(2)$ $(3)$	01/19/2005			S	25,000 (4)	D	\$ 58.35	1,116,593	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Trust Shares (beneficial	01/19/2005			S	25,000 (4)	D	\$ 58.45	1,091,593	I <u>(1)</u>	By the Nickel 1997 Irrevocable

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interest in special voting share) $(2) (3)$						Trust
Trust Shares (beneficial interest in 01/19/2005 special voting share) (2) (3)	S	25,000 (4) D	\$ 58.7	1,066,593	I ( <u>1)</u>	By the Nickel 1997 Irrevocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of	SEC 1474
information contained in this form are not	(9-02)
required to respond unless the form	
displays a currently valid OMB control	
number.	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Comming	3. Transaction Date		4. Tana ati	5.	6. Date Exer		7. Title		8. Price of	9. Nu Daria
Derivative Security	Conversion or Exercise	(Month/Day/Year)	any	Code	orNumber of	Expiration D (Month/Day/		Amour Underl		Derivative Security	Deriv Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	( cur)	Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne Falla
	Security				Acquired (A) or						Follo Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title Number			
				a		Literensuore	Duite		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Addre	SS	Relationships							
	Director	10% Owner	Officer	Other					
ARISON MICKY MEIR 3655 N.W. 87 AVENUE MIAMI, FL 33178-2428	Х	Х	Chairman and CEO						
Signatures									
/s/ Micky M. Arison	01/21/2005								
	Date								

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<u>\*\*</u>Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the trust shares (the "Trust Shares") of beneficial interests in P&O Princess Special Voting Trust (the "Trust") and an interest in the Carnival plc special voting share.

(1) However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Trust Shares and an interest in the Carnival plc special voting share for purposes of Section 16 or for any other purpose.

Represents Trust Shares of beneficial interests in the Trust. In connection with the dual listed company transaction between Carnival plc (formerly known as P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival

- (2) Corporation (the "Carnival Corporation Common Stock"). Following the completion of the DLC Transaction, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (3) The prices included on this form represent the sales price for the paired Trust Shares and shares of Carnival Corporation Common Stock.
- (4) The shares covered by this form were sold pursuant to a Rule 10b5-1(c) sales plan dated August 28, 2003.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.