

MKS INSTRUMENTS INC  
Form 4  
March 09, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EMERSON ELECTRIC CO

2. Issuer Name and Ticker or Trading Symbol

MKS INSTRUMENTS INC [MKSI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
8000 W. FLORISSANT AVE.  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/07/2006

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

ST. LOUIS, MO 63136

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_\_\_X\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	03/07/2006		S	29,000 D \$ 22.0919 (1) (2)	7,973,041	I	Through a subsidiary (3)
Common Stock	03/08/2006		S	32,752 D \$ 22.1059 (4) (5)	7,940,289	I	Through a subsidiary (3)
Common Stock					1,065,182	D (6)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 3, 4, and 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
EMERSON ELECTRIC CO 8000 W. FLORISSANT AVE. ST. LOUIS, MO 63136		X		
ASTEAMERICA INC 5810 VAN ALLEN WAY CARLSBAD, CA 92008		X		

## Signatures

/s/ Harley M. Smith, Assistant Secretary for Emerson Electric Co. 03/09/2006

\_\_Signature of Reporting Person Date

/s/ Harley M. Smith, Secretary for Astec America Inc. 03/09/2006

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person gave a sell order to the broker to sell shares of MKS Instruments, Inc. common stock which resulted in sales of 29,000 shares on March 7, 2006. In the discretion of the broker, the sales were effected in multiple transactions, at varying prices, on March 7, 2006, as follows and as described in Footnote 2 below: 500 shares at \$21.90; 1,700 at \$21.91; 200 at \$21.92; 400 at \$21.93; 800 at \$21.94; 1,600 at \$21.95; 900 at \$21.96; 600 at \$21.97; 600 at \$21.98; 700 at \$21.99; 2,000 at \$22.00; 1,000 at \$22.01; 900 at \$22.02; 400 at \$22.03; 200 at \$22.04; 100 at \$22.05; 100 at \$22.07; 100 at \$22.08; 300 at \$22.11; 400 at \$22.13; 500 at \$22.14; 4,200 at \$22.15; and 1,400 at \$22.16.

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- (2) This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 1,000 shares at \$22.17; 1,200 at \$22.18; 900 at \$22.19; 700 at \$22.20; 1,300 at \$22.21; 1,110 at \$22.22; 1,100 at \$22.23; 790 at \$22.24; 100 at \$22.25; 100 at \$22.26; 200 at \$22.28; 300 at \$22.29; 300 at \$22.30; 137 at \$22.31; and 163 at \$22.32. The weighted average sales price for these transactions was \$22.0919 per share.
- (3) The reported securities are owned directly by Astec America Inc. The Reporting Person is the ultimate parent company of Astec America Inc.
- (4) The Reporting Person gave a sell order to the broker to sell shares of MKS Instruments, Inc. common stock which resulted in sales of 32,752 shares on March 8, 2006. In the discretion of the broker, the sales were effected in multiple transactions, at varying prices, on March 8, 2006, as follows and as described in Footnote 5 below : 100 shares at \$21.82; 100 at \$21.86; 400 at \$21.90; 200 at \$21.91; 300 at \$21.92; 700 at \$21.93; 100 at \$21.94; 700 at \$21.95; 1,300 at \$21.96; 136 at \$21.97; 64 at \$21.98; 100 at \$21.99; 100 at \$22.00; 800 at \$22.01; 1,200 at \$22.02; 700 at \$22.03; 1,002 at \$22.04; 1,000 at \$22.05; 600 at \$22.06; 500 at \$22.07; 1,400 at \$22.08; 668 at \$22.09; and 800 at \$22.10.
- (5) This footnote sets forth additional detail with respect to the transactions described in Footnote 4, as follows: 1,953 shares at \$22.11; 2,519 at \$22.12; 2,610 at \$22.13; 1,157 at \$22.14; 743 at \$22.15; 1,200 at \$22.16; 1,300 at \$22.17; 1,700 at \$22.18; 1,500 at \$22.19; 1,600 at \$22.20; 960 at \$22.21; 1,100 at \$22.22; 200 at \$22.23; 299 at \$22.24; 201 at \$22.25; 40 at \$22.26; and 700 at \$22.27. The weighted average sales price for these transactions was \$22.1059 per share.
- (6) The reported securities are owned directly by Emerson Electric Co.

### Remarks:

See Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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