

Edgar Filing: ARMOR HOLDINGS INC - Form 4

ARMOR HOLDINGS INC  
Form 4  
January 10, 2002

FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction (b).

|                            |     |
|----------------------------|-----|
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person\*

|         |          |          |
|---------|----------|----------|
| Spiller | Jonathan | M.       |
| (Last)  | (First)  | (Middle) |

c/o Armor Holdings, Inc.  
1400 Marsh Landing Parkway, Suite 112

(Street)

|              |         |       |
|--------------|---------|-------|
| Jacksonville | FL      | 32250 |
| (City)       | (State) | (Zip) |

2. Issuer Name and Ticker or Trading Symbol

Armor Holdings, Inc. (AH)

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

December, 2001

5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

President and Chief Executive Officer

7. Individual or Joint/Group Filing (Check Applicable Law)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

TABLE I -- Non-Derivative Securities Acquired, Disposed of, or Beneficial

| 1. Title of Security<br>(Instr. 3)          | 2. Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3. Trans-<br>action<br>Code<br>(Instr. 8) |   | 4. Securities Acquired (A)<br>or Disposed of (D) |               |         | 5. Amount of<br>Securities<br>Beneficial<br>Owned at<br>End of<br>Month<br>(Instr.<br>3 and 4) |
|---|---|---|---|--|---------------|---------|--|
|   |   | Code                                      | V | Amount   | (A) or<br>(D) | Price   |  |
| Common Stock, par value<br>\$0.01 per share | 12/10/01  | S   |   | 101,459  | D             | \$22.00 | 222,610  |
| Common Stock, par value<br>\$0.01 per share | 12/10/01  | S   |   | 23,541   | D             | \$22.00 | 20,000   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  
 \* If the Form is filed by more than one reporting person, See Instruction 5(b)(v).

FORM 4 (continued)

TABLE II -- Derivative Securities Acquired, Disposed of, or Beneficial

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(e.g. puts, calls, warrants, options, convertible securities)

| 1.   | 2.   | 3.   | 4.   | 5.  | 6.   | 7.                      | 8.  |  |
|--|--|--|--|---|--|-------------------------|---|--|
| Title of<br>Derivative<br>Security<br>(Instr. 3) | Conversion or<br>Exercise<br>Price of<br>Deriv-<br>ative<br>Security | Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5)<br>-----<br>(A) (D) | Date Exer-<br>cisable and<br>Expiration<br>Date (Month/<br>Day/Year)<br>-----<br>Date<br>Exer-<br>cis-<br>able | Expir-<br>ation<br>Date | Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3<br>and 4)<br>-----<br>Amount<br>or Num-<br>ber of<br>Shares | Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity<br>(Instr.<br>5) |
| Stock Options<br>(Right to<br>Buy) (1)           | \$1.05   |  |  |   | (2)  |                         | Common<br>Stock   | 109,429  |
| Stock Options<br>(Right to<br>Buy) (3)           | \$10.4375  |  |  |   | (2)  | 9/2/07                  | Common<br>Stock   | 100,000  |
| Stock Options<br>(Right to<br>Buy) (3)           | \$11.00  |  |  |   | (2)  | 9/2/07                  | Common<br>Stock   | 10,000   |
| Stock Options<br>(Right to<br>Buy) (3)           | \$12.00  |  |  |   | (2)  | 9/2/07                  | Common<br>Stock   | 50,000   |
| Stock Options<br>(Right to<br>Buy) (4)           | \$11.3125  |  |  |   | (5)  | 1/1/09                  | Common<br>Stock   | 300,000  |

- (1) Granted pursuant to the Armor Holdings, Inc. 1994 Incentive Stock Plan.
- (2) Presently exercisable.
- (3) Granted pursuant to the Armor Holdings, Inc. 1996 Stock Option Plan.
- (4) Granted pursuant to the Armor Holdings, Inc. 1998 Stock Option Plan.
- (5) 33% exercisable on and after each of the first 3 anniversaries of January 1, 1999.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\*If the Form is filed by more than one reporting person, See Instruction 5(b) (v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Jonathan M. Spiller

January 7, 2002

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\*\* Signature of Reporting Person

Date

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this Form are not required to respond unless the form displays a currently valid OMB Number.