#### ALDRICH LAWRENCE J

Form 4 March 24, 2010

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

Common

Stock

01/03/2005

(Print or Type Responses)

1. Name and Address of Reporting Person \*

ALDRICH LAWRENCE J

			UNISOURCE ENERGY CORP [UNS]				P	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)					X Director 10% Owner Officer (give title Other (specify			
1 S. CHURCH AVENUE, SUITE 201			01/03/2002					below)	below)		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
TUCSON, A							Form filed by More than One Reporting Person				
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	any		emed on Date, if /Day/Year)	Code (Instr. 8)	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect Indirect (I) (Instr. 4)  7. Nature of Indirect Ownership (Instr. 4)			
Common Stock	05/10/2002			Code V A	Amount 516	(D)	Price \$ 0	3,912 (2)	D		
Common Stock	05/10/2002			D	516	D	(1)	3,912 <u>(2)</u>	D		
Common Stock	01/02/2003			A	573	A	\$ 0	3,912 (2)	D		
Common Stock	01/02/2003			D	573	D	<u>(1)</u>	3,912 (2)	D		

A

408

A  $\$ 0 3,912 \frac{(2)}{}$ 

D

#### Edgar Filing: ALDRICH LAWRENCE J - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 18.74	01/03/2002		A	2,000	(3)	(3)	Common Stock	2,000	\$
Restricted Stock Units (in lieu of restricted stock)	<u>(4)</u>	05/10/2002		A	516	<u>(4)</u>	<u>(4)</u>	Common Stock	516	Q
Stock Option (right to buy)	\$ 17.44	01/02/2003		A	2,358	(3)	<u>(3)</u>	Common Stock	2,358	\$
Restricted Stock Units (in lieu of restricted stock)	<u>(4)</u>	01/02/2003		A	573	<u>(4)</u>	<u>(4)</u>	Common Stock	573	Q
Restricted Stock Units	<u>(5)</u>	01/03/2005		A	408	(5)	<u>(5)</u>	Common Stock	408	\$
Restricted Stock Units	<u>(6)</u>	05/06/2005		A	660	<u>(6)</u>	<u>(6)</u>	Common Stock	660	\$

### **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

ALDRICH LAWRENCE J 1 S. CHURCH AVENUE SUITE 201 TUCSON, AZ 85701

X

## **Signatures**

Amy J. Welander, Attorney in Fact

03/24/2010

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person exchanged restricted stock shares for an equivalent number of restricted stock units in lieu of restricted stock shares on the date of the grant of such restricted stock.
- The reporting person included the transaction being reported in its holdings listed in this column, but mistakenly did not previously report (2) the underlying transaction. As such, current holdings are shown. The shares or units, as applicable, being reported in this filing were reflected in the reporting person's holdings as disclosed in prior proxy statements filed by UniSource Energy Corporation.
- (3) The options vest as follows: 1/3 on the first anniversary of the date of the grant, 1/3 on the second anniversary of the date of the grant, and 1/3 on the third anniversary of the date of the grant. They expire on the date that is 10 years from the date of grant.
- (4) The restricted stock units in lieu of restricted stock vest on the third anniversary of the date of grant and are payable after vesting in accordance with the election of the reporting person on the date of grant.
- The restricted stock units vest as follows: 1/3 on the first anniversary of the date of grant, 1/3 on the second anniversary of the date of the grant, and 1/3 on the third anniversary of the date of the grant and are payable upon the reporting person's termination of service with UniSource Energy Corporation.
- (6) The restricted stock units were awarded as equity compensation to the reporting person and vest on the first anniversary of the date of grant and are payable upon the reporting person's termination of service with UniSource Energy Corporation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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