

CROSS RIVER CAPITAL MANAGEMENT LLC

Form 4

July 03, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

CROSS RIVER CAPITAL  
MANAGEMENT LLC

(Last) (First) (Middle)

31 BAILEY AVENUE, UNIT D

(Street)

RIDGEFIELD, CT 06877

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading

Symbol

Enservco Corp [ENSV]

3. Date of Earliest Transaction

(Month/Day/Year)

06/29/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_ Director

\_\_X\_\_ 10% Owner

\_\_\_ Officer (give title  
below)\_\_\_ Other (specify  
below)6. Individual or Joint/Group Filing(Check  
Applicable Line)

\_\_\_ Form filed by One Reporting Person

\_\_X\_\_ Form filed by More than One Reporting  
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock, \$.005 par value	06/29/2018		X		1,612,902	A	\$ 0.31	12,292,992	D <u>(1)</u>
Common Stock, \$.005 par value	06/29/2018		X	0		A	\$ 0.31	12,292,992	I
Common Stock, \$.005 par value								73,900	D <u>(3)</u>
									See Footnote <u>(2)</u>

See  
Footnote  
(2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Warrants	\$ 0.31	06/29/2018		X		1,612,902		06/28/2017	06/28/2022	Common Stock, \$.005 par value	1,612,902

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CROSS RIVER CAPITAL MANAGEMENT LLC 31 BAILEY AVENUE UNIT D RIDGEFIELD, CT 06877		X		
Cross River Partners LP C/O CROSS RIVER CAPITAL MANAGEMENT LLC 31 BAILEY AVENUE, UNIT D RIDGEFIELD, CT 06877		X		
Cross River Management LLC 31 BAILEY AVENUE UNIT D RIDGEFIELD, CT 06877		X		
MURPHY RICHARD C/O CROSS RIVER CAPITAL MANAGEMENT LLC 31 BAILEY AVENUE, UNIT D RIDGEFIELD, CT 06877	X	X		

## Signatures

Cross River Capital Management LLC, By: /s/ Richard Murphy, Managing Member	07/03/2018
_____ **Signature of Reporting Person	Date
Cross River Partners LP, By:/s/ Richard Murphy, Managing Member of Cross River Capital Management,LLC, its General Partner	07/03/2018
_____ **Signature of Reporting Person	Date
Cross River Management LLC, By: /s/ Richard Murphy, Managing Member	07/03/2018
_____ **Signature of Reporting Person	Date
/s/ Richard Murphy	07/03/2018
_____ **Signature of Reporting Person	Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reported securities are directly owned by Cross River Partners LP.

The reported securities are directly owned by Cross River Partners LP (the "Partnership"), a limited partnership whose general partner is Cross River Capital Management LLC (the "General Partner"), and may be deemed indirectly beneficially owned by the General Partner and by Cross River Management LLC, as the investment manager of the Partnership (the "Investment Manager"). The reported securities

(2) may also be deemed indirectly beneficially owned by Richard Murphy, as Managing Member of both the General Partner and the Investment Manager. The Reporting Persons disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

(3) These securities are directly owned by Richard Murphy, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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