

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

SWISS HELVETIA FUND INC

Form NSAR-B

March 01, 2002

PAGE 1
000 B000000 12/31/2001
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE SWISS HELVETIA FUND, INC.
001 B000000 811-5128
001 C000000 2123322760
002 A000000 1270 AVENUE OF THE AMERICAS, SUITE 400
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10020
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 HOTTINGER CAPITAL CORP.
008 B000001 A
008 C000001 801-30025
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10020
010 A000001 INVESTMENT COMPANY CAPITAL CORP.
010 B000001 8-194
010 C010001 BALTIMORE
010 C020001 MD
010 C030001 21202
011 A000001 DELETE
012 A000001 PFPC, INC.
012 B000001 85-11196
012 C010001 PROVIDENCE
012 C020001 RI
012 C030001 02940
012 C040001 3027
013 A000001 DELOITTE & TOUCHE, LLP
PAGE 2
013 B010001 PRINCETON
013 B020001 NJ
013 B030001 08540
014 A000001 HOTTINGER AND CIE
014 B000001 8-xxxxxxx
015 A000001 SWISS AMERICAN SECURITIES, INC.

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

015 B000001 C
 015 C010001 NEW YORK
 015 C020001 NY
 015 C030001 10017
 015 E040001 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 LOMBARD ODIER
 020 C000001 92
 020 A000002 VONTOBEL SECURITIES, LTD.
 020 C000002 89
 020 A000003 PICTET OVERSEAS, INC.
 020 C000003 76
 020 A000004 UNION BANK OF SWITZERLAND
 020 C000004 74
 020 A000005 HOTTINGER & CIE
 020 C000005 42
 020 A000006 AURBACH GRAYSON
 020 C000006 35
 020 A000007 DEUTSCHE BANK SEC CORP.
 020 C000007 16
 020 A000008 DEUTSCHE MORGAN GRENFELL
 020 C000008 15
 020 A000009 BEAR (JULUIS) SECURITIES, INC.
 020 C000009 12
 020 A000010 OPPENHEIMER & CO.
 020 C000010 9
 021 000000 472
 022 C000001 0
 022 D000001 0
 022 A000002 VONTOBEL SECURITIES, INC.
 022 C000002 0
 022 D000002 1802
 022 A000003 UNION BANK OF SWITZERLAND
 022 C000003 0
 022 D000003 246
 022 C000004 0
 022 D000004 0
 022 C000005 0
 022 D000005 0
 022 C000006 0
 022 D000006 0
 022 C000007 0
 022 D000007 0
 PAGE 3
 022 C000008 0
 022 D000008 0
 022 C000009 0
 022 D000009 0
 022 C000010 0
 022 D000010 0
 023 C000000 0
 023 D000000 2048
 024 000000 Y
 025 A000001 DELETE
 025 D000001 0
 025 A000002 JULIUS BAER HOLDINGS LTD.
 025 B000002 13-6174048
 025 C000002 E
 025 D000002 1856
 025 A000003 UBS AG

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

025	C000003	E	
025	D000003		21965
025	A000004	VONTOBEL HOLDINGS LTD.	
025	C000004	E	
025	D000004		492
025	D000005		0
025	D000006		0
025	D000007		0
025	D000008		0
026	A000000	N	
026	B000000	Y	
026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
	PAGE	4	
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000		0.00
030	C000000		0.00
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

042 D000000 0
042 E000000 0
042 F000000 0
042 G000000 0
042 H000000 0
043 000000 0
044 000000 0
045 000000 Y
046 000000 N
047 000000 Y
048 000000 0.000
048 A010000 60000
048 A020000 1.000
048 B010000 40000
048 B020000 0.900
048 C010000 100000
048 C020000 0.800
048 D010000 100000
048 D020000 0.700
048 E010000 100000
048 E020000 0.650
048 F010000 100000
048 F020000 0.600
048 G010000 100000
048 G020000 0.550
PAGE 5
048 H010000 10000
048 H020000 0.000
048 I010000 0
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 600000
048 K020000 0.500
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 N
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 N
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 N
055 A000000 N
055 B000000 N
056 000000 N
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 N
060 B000000 N

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0

PAGE 6

062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 Y
 069 000000 N
 070 A010000 N
 070 A020000 N
 070 B010000 N
 070 B020000 N
 070 C010000 N
 070 C020000 N
 070 D010000 N
 070 D020000 N
 070 E010000 N
 070 E020000 N
 070 F010000 N
 070 F020000 N
 070 G010000 N
 070 G020000 N
 070 H010000 N
 070 H020000 N
 070 I010000 N
 070 I020000 N
 070 J010000 N
 070 J020000 N
 070 K010000 N
 070 K020000 N
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 Y
 070 N010000 Y
 070 N020000 Y
 070 O010000 N

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

070	O020000	N	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
	PAGE	7	
070	R010000	N	
070	R020000	N	
071	A000000		104612
071	B000000		111511
071	C000000		323402
071	D000000		32
072	A000000	12	
072	B000000		438
072	C000000		3475
072	D000000		0
072	E000000		33
072	F000000		2721
072	G000000		296
072	H000000		0
072	I000000		47
072	J000000		146
072	K000000		0
072	L000000		116
072	M000000		361
072	N000000		0
072	O000000		84
072	P000000		0
072	Q000000		112
072	R000000		32
072	S000000		742
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		83
072	X000000		4740
072	Y000000		0
072	Z000000		-794
072AA	000000		6225
072BB	000000		0
072CC	010000		0
072CC	020000		109534
072DD	010000		0
072DD	020000		0
072EE	000000		7361
073	A010000		0.0000
073	A020000		0.0000
073	B000000		0.3100
073	C000000		0.0000
074	A000000		7836
074	B000000		0
074	C000000		0
074	D000000		0
074	E000000		0
074	F000000		304192
074	G000000		0
074	H000000		0
	PAGE	8	
074	I000000		0
074	J000000		0
074	K000000		0
074	L000000		4106

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

074	M000000	1
074	N000000	316135
074	O000000	1268
074	P000000	0
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	431
074	S000000	0
074	T000000	314436
074	U010000	23893
074	U020000	0
074	V010000	13.16
074	V020000	0.00
074	W000000	0.0000
074	X000000	1067
074	Y000000	0
075	A000000	0
075	B000000	341806
076	000000	11.00
077	A000000	Y
077	B000000	Y
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	750000
081	A000000	N
081	B000000	0
082	A000000	Y
082	B000000	25
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	Y
086	A010000	0
086	A020000	0
086	B010000	464
086	B020000	6209
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0

PAGE 9

086	F020000	0
087	A010000	SWISS HELVETIA FUND, INC.
087	A020000	870875101
087	A030000	SWZ
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	CHARLES RIZZO	
TITLE	VICE PRESIDENT	