

KOVACH SUSAN A  
 Form 4/A  
 March 20, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KOVACH SUSAN A**

(Last) (First) (Middle)  
 300 MADISON AVENUE, P.O.  
 BOX 10060  
 (Street)

TOLEDO, OH 43699-0060

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**LIBBEY INC [LBY]**

3. Date of Earliest Transaction  
 (Month/Day/Year)  
**02/28/2018**

4. If Amendment, Date Original Filed(Month/Day/Year)  
**03/02/2018**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 SVP,General Counsel &Secretary

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |             |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-------------|---|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |                                   |             |   |
| Restricted Stock Units          | 02/28/2018                           |  | A                              |   | 21,669<br><u>(1)</u> <u>(2)</u>   | A  | \$ 0                              | 35,727      | D |
| Common Stock                    |                                      |  |                                |   |   |  |                                   | 36,856.5281 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |               |                         |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|---------------|-------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title         | Amount Number of Shares |
| NQ - Stock Option (Right to Buy)           | \$ 17.13   |                                      |  |                                |   | 02/17/2017   | 02/25/2026  | Common Shares | 16,                     |
| Phantom Stock Units                        | (3)  |                                      |  |                                |   | 08/08/1998   | (4)   | Common Stock  | 1,19                    |
| Non-Qualified Stock Option (right to buy)  | \$ 13.95   |                                      |  |                                |   | 02/17/2013 <sup>(5)</sup>                                | 02/17/2022  | Common Stock  | 4,6                     |
| NQ - Stock Option (Right to Buy)           | \$ 13.6  |                                      |  |                                |   | 02/17/2018   | 03/01/2027  | Common Stock  | 11,                     |
| NQ - Stock Option (Right to Buy)           | \$ 38.06   |                                      |  |                                |   | 02/17/2016 <sup>(6)</sup>                                | 03/02/2025  | Common Stock  | 4,6                     |
| Non-Qualified Stock Option (right to buy)  | \$ 15.35   |                                      |  |                                |   | 02/15/2009 <sup>(5)</sup>                                | 02/15/2018  | Common Stock  | 3,6                     |
| Non-Qualified Stock Option (right to buy)  | \$ 17  |                                      |  |                                |   | 02/10/2012 <sup>(5)</sup>                                | 02/10/2021  | Common Stock  | 3,6                     |
| NQ - Stock Option (Right to Buy)           | \$ 23.02   |                                      |  |                                |   | 02/24/2015 <sup>(5)</sup>                                | 02/24/2024  | Common Stock  | 6,4                     |
| Non-Qualified Stock Option (right to buy)  | \$ 19.02   |                                      |  |                                |   | 02/22/2014 <sup>(5)</sup>                                | 02/22/2023  | Common Stock  | 6,9                     |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer

Other

KOVACH SUSAN A  
300 MADISON AVENUE  
P.O. BOX 10060  
TOLEDO, OH 43699-0060

SVP, General Counsel & Secretary

## Signatures

Debbie Hyndman, Attorney-in-Fact for Susan A.  
Kovach

03/20/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units will vest 25% on February 17th of each of 2019, 2020, 2021 and 2022 provided the grantee remains continuously employed by the Company as of those respective dates.
- (2) Corrects number of Restricted Stock Units Acquired incorrectly noted on previous Form 4.
- (3) The phantom stock units convert to common stock on a 1-for-1 basis.  
  
Phantom stock units acquired under the Libbey director's deferred compensation plan (the "Plan"), a 16b-3 plan, in lieu of cash compensation earned as a director for board and board committee service. Each phantom stock unit is the economic equivalent of one share of Libbey Inc. common stock. The number of phantom stock units acquired is equivalent to the dollar value of the compensation earned based on the market value of Libbey Inc. shares at the time the compensation was earned. The market value of Libbey Inc. shares is the closing price of the Libbey Inc. shares on the New York Stock Exchange on the day the compensation is earned. The units earned are settled in cash at such time as prescribed by the Plan.
- (5) The options become exercisable for 25% of the shares on each of the first, second, third, and fourth anniversary dates.
- (6) The options become exercisable for 25% of the shares on February 17th of each of 2016, 2017, 2018 and 2019 provided the grantee remains continuously employed by the Company as of those respective dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.