#### FOLEY WILLIAM A

Form 4

February 21, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Section 16. Form 4 or Form 5 obligations

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Estimated average burden hours per response... 0.5

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * FOLEY WILLIAM A			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
		arın)	LIBBEY INC [LBY]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
			(Month/Day/Year)	X Director 10% Owner		
300 MADISON AVENUE, PO BOX 10060			02/17/2018	X Officer (give title Other (specify below) Chief Executive Officer		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
TOLEDO OLI 42/00 00/0				_X_ Form filed by One Reporting Person Form filed by More than One Reporting		
TOLEDO, OH 43699-0060				Person		

(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of	2. Transaction Date		3.	4. Securities			6. Ownership	
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)		Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 an	d 5)	Owned	Indirect (I)	Ownership
						Following	(Instr. 4)	(Instr. 4)
					`	Reported		
				(A		Transaction(s)		
			G 1 17	or		(Instr. 3 and 4)		
			Code V	Amount (D	) Price	· ·		
Restricted	02/17/2018		F	7,853 D	\$	90,811	D	
Stock Units	02/11/2016		1	<u>(1)</u>	6.07	90,011	D	
Common						52 722	D	
Stock						52,732	ט	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	\$ 0					08/08/1988(2)	08/08/1988	Common Stock	12,914.65 (3)
NQ - Stock Option (Right to Buy)	\$ 17.13					02/17/2017	02/25/2026	Common Stock	126,598
NQ - Stock Option (Right to Buy)	\$ 13.6					02/17/2018	03/01/2027	Common Stock	88,550

# **Reporting Owners**

Reporting Owner Name / Address	Relationships ss						
·r· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
FOLEY WILLIAM A 300 MADISON AVENUE PO BOX 10060 TOLEDO, OH 43699-0060	X		Chief Executive Officer				

## **Signatures**

Debbie Hyndman, Attorney-in-Fact for William A. Foley 02/21/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares withheld to satisfy tax withholding obligations on restricted stock units that vested.

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- Phantom stock units acquired under the Libbey director's deferred compensation plan (the "Plan"), a 16b-3 plan, in lieu of cash compensation earned as a director for board and board committee service. Each phantom stock unit is the economic equivalent of one share of Libbey Inc. common stock. The number of phantom stock units acquired is equivalent to the dollar value of the compensation earned based on the market value of Libbey Inc. shares at the time the compensation was earned. The market value of Libbey Inc. shares is the closing price of the Libbey Inc. shares on the New York Stock Exchange on the day the compensation is earned. The units earned are settled in cash at such time as prescribed by the Plan.
- (3) Total includes 216.241 phantom stock units acquired on November 22, 2017 under the Plan's dividend-equivalent reinvestment feature. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.