

KOVACH SUSAN A  
 Form 5  
 February 14, 2018

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
 KOVACH SUSAN A

(Last) (First) (Middle)

300 MADISON AVENUE, P.O. BOX 10060

(Street)

TOLEDO, OH 43699-0060

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 LIBBEY INC [LBY]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2017

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 VP, General Counsel & Secretary

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or (D) Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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	Derivative Security			Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount Number Shares		
		(A)	(D)	(A)	(D)						
Phantom Stock Units	Â	12/15/2009	Â	A	154.03	Â	08/08/1998 <sup>(2)</sup>	Â	(2)	Common Stock	154.
Phantom Stock Units	Â	12/31/2009	Â	A	143.024	Â	08/08/1998 <sup>(2)</sup>	Â	(2)	Common Stock	143.
Phantom Stock Units	Â	12/15/2010	Â	A	120.097	Â	08/08/1998 <sup>(2)</sup>	Â	(2)	Common Stock	120.
Phantom Stock Units	Â	12/31/2010	Â	A	111.402	Â	08/08/1998 <sup>(2)</sup>	Â	(2)	Common Stock	111.
Phantom Stock Units	Â	12/15/2011	Â	A	190.105	Â	08/08/1998 <sup>(2)</sup>	Â	(2)	Common Stock	190.
Phantom Stock Units	Â	12/30/2011	Â	A	185.329	Â	08/08/1998 <sup>(2)</sup>	Â	(2)	Common Stock	185.
Phantom Stock Units	Â	12/14/2012	Â	A	86.833	Â	08/08/1998 <sup>(2)</sup>	Â	(2)	Common Stock	86.8
Phantom Stock Units	Â	12/31/2012	Â	A	96.332	Â	08/08/1998 <sup>(2)</sup>	Â	(2)	Common Stock	96.3

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KOVACH SUSAN A 300 MADISON AVENUE P.O. BOX 10060 TOLEDO, OH 43699-0060	Â	Â	Â	VP, General Counsel & Secretary

## Signatures

Debbie Hyndman, Attorney-in-Fact for Susan A. Kovach

02/14/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The phantom stock units convert to common stock on a 1-for-1 basis.

Phantom stock units acquired upon deferral of cash compensation under the Libbey executive deferred compensation plan (the "Plan"), a 16b-3 plan. Each phantom stock unit is the economic equivalent of one share of Libbey Inc. common stock. The number of phantom

- (2) stock units acquired is equivalent to the dollar value of the cash compensation earned based on the market value of Libbey Inc. shares at the time the compensation was earned. The market value of Libbey Inc. shares is the closing price of the Libbey Inc. shares on the New York Stock Exchange on the day the compensation is earned. The units earned are settled in cash at such time as prescribed by the Plan.

- (3) Total includes 105,947 phantom stock units acquired during the years 2015 through 2017 under the Plan's dividend-equivalent reinvestment feature.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.