

LIBBEY INC
Form 4
March 03, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KOVACH SUSAN A

(Last) (First) (Middle)
300 MADISON AVENUE, P.O.
BOX 10060
(Street)

TOLEDO, OH 43699-0060

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
LIBBEY INC [LBY]

3. Date of Earliest Transaction (Month/Day/Year)
03/01/2017

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
VP, General Counsel & Secretary

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Restricted Stock Units	03/01/2017		A	(A) or (D) Amount 5,768 (1) Price \$ 13.6	15,561	D	
Common Stock					35,755.4517	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
NQ - Stock Option (Right to Buy)	\$ 13.6	03/01/2017		A	11,666	02/17/2018 ⁽²⁾	03/01/2027	Common Stock
NQ - Stock Option (Right to Buy)	\$ 38.06					02/17/2016 ⁽³⁾	03/02/2025	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 13.95					02/17/2013 ⁽⁴⁾	02/17/2022	Common Stock
NQ - Stock Option (Right to Buy)	\$ 17.13					02/17/2017	02/25/2026	Common Shares
Non-Qualified Stock Option (right to buy)	\$ 15.35					02/15/2009 ⁽⁴⁾	02/15/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 17					02/10/2012 ⁽⁴⁾	02/10/2021	Common Stock
NQ - Stock Option (Right to Buy)	\$ 23.02					02/24/2015 ⁽⁴⁾	02/24/2024	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 19.02					02/22/2014 ⁽⁴⁾	02/22/2023	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KOVACH SUSAN A 300 MADISON AVENUE P.O. BOX 10060			VP, General Counsel & Secretary	

TOLEDO, OH 43699-0060

Signatures

Debbie Hyndman, Attorney-in-Fact for Susan A.
Kovach

03/03/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units will vest 25% on February 17th of each of 2018, 2019, 2020 and 2021 provided the grantee remains continuously employed by the Company as of those respective dates.
 - (2) The options become exercisable for 25% of the shares on February 17th of each of 2018, 2019, 2020 and 2021 provided the grantee remains continuously employed by the Company as of those respective dates.
 - (3) The options become exercisable for 25% of the shares on February 17th of each of 2016, 2017, 2018 and 2019 provided the grantee remains continuously employed by the Company as of those respective dates.
 - (4) The options become exercisable for 25% of the shares on each of the first, second, third, and fourth anniversary dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.