

LIBBEY INC  
Form 4  
April 28, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
KOVACH SUSAN A

(Last) (First) (Middle)  
300 MADISON AVENUE, P.O.  
BOX 10060  
(Street)

TOLEDO, OH 43699-0060

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
LIBBEY INC [LBY]

3. Date of Earliest Transaction (Month/Day/Year)  
04/28/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP, General Counsel & Secretary

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/28/2016		S	(A) or (D) Amount 5,000 (1) Price \$ 18.5	39,881.4517	D	
Restricted Stock Units					10,867	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
NQ - Stock Option (Right to Buy)	\$ 38.06					02/17/2016 <sup>(2)</sup>	03/02/2025	Common Stock	4,68
Non-Qualified Stock Option (right to buy)	\$ 13.95					02/17/2013 <sup>(3)</sup>	02/17/2022	Common Stock	4,62
NQ - Stock Option (Right to Buy)	\$ 17.13					02/17/2017	02/25/2026	Common Shares	16,3
Non-Qualified Stock Option (right to buy)	\$ 15.35					02/15/2009 <sup>(3)</sup>	02/15/2018	Common Stock	3,62
Non-Qualified Stock Option (right to buy)	\$ 17					02/10/2012 <sup>(3)</sup>	02/10/2021	Common Stock	3,62
NQ - Stock Option (Right to Buy)	\$ 23.02					02/24/2015 <sup>(3)</sup>	02/24/2024	Common Stock	6,41
Non-Qualified Stock Option (right to buy)	\$ 19.02					02/22/2014 <sup>(3)</sup>	02/22/2023	Common Stock	6,90

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

KOVACH SUSAN A  
300 MADISON AVENUE  
P.O. BOX 10060  
TOLEDO, OH 43699-0060

Director    10% Owner    Officer    Other

VP, General Counsel & Secretary

## Signatures

Debbie Hyndman, Attorney-in-Fact for Susan A.  
Kovach

04/28/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock covered by this Form 4 was sold under a plan, adopted on March 14, 2016, in compliance with Rules 10b5-1 under the Securities Act of 1934, as amended.
- (2) The options become exercisable for 25% of the shares on February 17th of each of 2016, 2017, 2018 and 2019 provided the grantee remains continuously employed by the Company as of those respective dates.
- (3) The options become exercisable for 25% of the shares on each of the first, second, third, and fourth anniversary dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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