

LIBBEY INC
Form 4
November 25, 2014

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BOERGER KENNETH A

2. Issuer Name and Ticker or Trading Symbol
LIBBEY INC [LBY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
300 MADISON AVENUE, PO BOX 10060

3. Date of Earliest Transaction (Month/Day/Year)
11/24/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

Vice President & Treasurer

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

TOLEDO, OH 43699-0060

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	11/24/2014		M	1,583 A \$ 15.35	31,800.2724	D	
Common Stock	11/24/2014		S	1,583 (1) D \$ 30	30,217.2724	D	
Common Stock	11/24/2014		M	2,863 A \$ 10.13	33,080.2724	D	
Common Stock	11/24/2014		S	2,863 (1) D \$ 30.13	30,217.2724	D	
Common Stock					8,071.4337	I	By 401(k) Plan (2)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Non-Qualified Stock Option (right to buy)	\$ 15.35	11/24/2014		M	1,583 (1)	02/15/2009 ⁽³⁾ 02/15/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 10.13	11/24/2014		M	2,863 (1)	02/11/2011 ⁽³⁾ 02/11/2020	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BOERGER KENNETH A 300 MADISON AVENUE PO BOX 10060 TOLEDO, OH 43699-0060			Vice President & Treasurer	

Signatures

By: Debbie Hyndman, Attorney-in-Fact For: Kenneth A. Boerger 11/25/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The common stock covered by this Form 4 was sold under a plan, adopted on October 31, 2013, in compliance with Rules 10b5-1 under the Securities Act of 1934, as amended.

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- The information reported herein is based upon information received from the record keeper of the Libbey Inc. 401k/104m plan. The
- (2) Libbey Inc. shares attributed to the participant in this report as being held by the plan are the equivalent number of the shares the participant would receive of his entire Libbey Inc. share account if it was distributed to him in Libbey Inc. shares. The actual account in the plan consists of the Libbey Inc. shares and cash.
 - (3) The options become exercisable for 25% of the shares on each of the first, second, third, and fourth anniversary dates.

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