

KIM JAMES J
Form 5
February 14, 2019

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
KIM JAMES J

2. Issuer Name and Ticker or Trading Symbol
AMKOR TECHNOLOGY, INC.
[AMKR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Exhibit 99.1

2045 EAST INNOVATION CIRCLE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

TEMPE, AZ 85284

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
| | | | | (A) or (D) Amount Price | | | |
| Common Stock | 02/21/2018 | Â | J ⁽¹⁾ | D 63,880 \$ 10.11 | 14,489,497 ⁽⁹⁾ | I | By self as trustee |
| Common Stock | 02/21/2018 | Â | J ⁽¹⁾ | A 63,880 \$ 10.11 | 54,621,203 ⁽⁹⁾ | D | Â |
| Common Stock | 04/04/2018 | Â | J ⁽²⁾ | D 2,138,382 \$ 9.63 | 14,489,497 ⁽⁹⁾ | I | By self as trustee |
| Common Stock | 07/09/2018 | Â | J ⁽³⁾ | D 7,828,682 \$ 8.85 | 14,489,497 | I | By self |

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| Stock | | | | | | | (9) | | |
|--------------|------------|---|------------------|-----------|---|---------|-------------------|---|-------------------------------------|
| Common Stock | 07/09/2018 | Â | J ⁽³⁾ | 7,828,682 | A | \$ 8.85 | 14,489,497 (9) | I | as trustee By self as trustee |
| Common Stock | 09/07/2018 | Â | J ⁽⁴⁾ | 2,470,150 | D | \$ 7.86 | 54,621,203 (9) | D | Â |
| Common Stock | 09/07/2018 | Â | J ⁽⁴⁾ | 2,470,150 | A | \$ 7.86 | 14,489,497 (9) | I | By self as trustee |
| Common Stock | 09/21/2018 | Â | J ⁽⁵⁾ | 941,223 | D | \$ 7.82 | 14,489,497 (9) | I | By self as trustee |
| Common Stock | 09/21/2018 | Â | J ⁽⁵⁾ | 941,223 | A | \$ 7.82 | 54,621,203 (9) | D | Â |
| Common Stock | 10/05/2018 | Â | J ⁽⁶⁾ | 1,139,605 | D | \$ 7.16 | 14,489,497 (9) | I | By self as trustee |
| Common Stock | 10/05/2018 | Â | J ⁽⁷⁾ | 1,139,605 | D | \$ 7.16 | 14,489,497 (9) | I | By self as trustee |
| Common Stock | 10/08/2018 | Â | J ⁽⁸⁾ | 2,000,000 | A | \$ 6.93 | 14,489,497 (9) | I | By self as trustee |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. of D Se B O E Is Fi (I |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---------------------------|
| | | | | | (A) (D) | Date Exercisable Expiration Date | Title | Amount or Number of Shares | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|--------------|
| | Director | 10% Owner | Officer | Other |
| KIM JAMES J 2045 EAST INNOVATION CIRCLE | Â X | Â X | Â | Exhibit 99.1 |

TEMPE, AZ 85284

Signatures

Jerry Allison, as Attorney
in Fact

02/14/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) On February 21, 2018, the James J. Kim 2014 Qualified Annuity Trust U/A dated 10/13/14 distributed 63,880 shares to James J. Kim. James J. Kim and Susan Y. Kim are co-trustees.
 - (2) On April 4, 2018, the John T. Kim 2012 Irrevocable Trust U/A dated 12/11/12 transferred 2,138,382 shares of the Issuer's Common Stock to John T. Kim. John T. Kim and James J. Kim are co-trustees.
 - (3) On July 9, 2018, the John T. Kim 2012 Irrevocable Trust U/A dated 12/11/12 transferred 7,828,682 shares of the Issuer's Common Stock to the Family Trust under the John T. Kim 2012 Irrevocable Trust dated 12/11/12. John T. Kim and James J. Kim are co-trustees.
 - (4) On September 7, 2018, James J. Kim transferred 2,470,150 shares of the Issuer's Common Stock to the James J. Kim 2018-1 Qualified Annuity Trust U/A dtd 8/30/18. James J. Kim and Susan Y. Kim are co-trustees.
 - (5) On September 21, 2018, the James J. Kim 2013 Qualified Annuity Trust U/A Dated 5/17/13 distributed 941,223 shares of the Issuer's Common Stock to James J. Kim. James J. Kim and Susan Y. Kim are co-trustees.
 - (6) On October 5, 2018, the James J. Kim 2013 Qualified Annuity Trust U/A Dated 5/17/13 distributed 1,139,605 shares of the Issuer's Common Stock to Susan Y. Kim. James J. Kim and Susan Y. Kim are co-trustees.
 - (7) On October 5, 2018, the James J. Kim 2013 Qualified Annuity Trust U/A Dated 5/17/13 distributed 1,139,605 shares of the Issuer's Common Stock to John T. Kim. James J. Kim and Susan Y. Kim are co-trustees.
 - (8) On October 8, 2018, Susan Y. Kim transferred 2,000,000 shares of the Issuer's Common Stock to the Qualified Annuity Trust under the Susan Y. Kim 2018-1 Irrevocable Trust Agreement dtd 8/29/18. James J. Kim and Susan Y. Kim are co-trustees.
- The reporting person disclaims beneficial ownership of these securities, except to the extent of the reporting person's pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities, except to the extent of the reporting person's pecuniary interest therein, for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.