

ODONNELL FRANCIS E JR
Form 4
September 14, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ODONNELL FRANCIS E JR

2. Issuer Name and Ticker or Trading Symbol
BIODELIVERY SCIENCES INTERNATIONAL INC [BDSI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
09/12/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O BIODELIVERY SCIENCES INTL, INC., 4131 PARKLAKE AVENUE, SUITE 225

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

RALEIGH, NC 27612

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	09/12/2018		M ⁽¹⁾		133,333	A	\$ 0 (1)
Common Stock	09/12/2018		S ⁽²⁾		66,667	D	\$ (3) (9) 2.83
Common Stock	09/13/2018		A		6,806	A	\$ 0 (4)
Common Stock	09/13/2018		M ⁽⁵⁾		46,000	A	\$ 0 (5)

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Common Stock	09/13/2018		<u>M</u> ⁽⁵⁾	62,500	A	\$ 0 <u>(6)</u>	569,121	D
Common Stock	09/13/2018		A	53,438	A	\$ 0 <u>(7)</u>	622,559	D
Common Stock	09/13/2018		<u>S</u> ⁽²⁾	84,372	D	\$ <u>2.84</u> <u>(8) (9)</u>	538,187	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares
Restricted Stock Units	\$ 0	09/12/2018		<u>M</u> ⁽¹⁾	133,333	09/12/2018 09/12/2018	Common Stock 133,333
Restricted Stock Units	\$ 0	09/13/2018		<u>A</u> ⁽⁴⁾	6,806	09/13/2018 09/13/2018	Common Stock 6,806
Restricted Stock Units	\$ 0	09/13/2018		<u>M</u> ⁽⁵⁾	46,000	09/13/2018 03/01/2019	Common Stock 46,000
Restricted Stock Units	\$ 0	09/13/2018		<u>M</u> ⁽⁶⁾	62,500	09/13/2018 02/06/2020	Common Stock 62,500
Restricted Stock Units	\$ 0	09/13/2018		<u>A</u> ⁽⁷⁾	53,438	09/13/2018 09/13/2018	Common Stock 53,438

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

