

PETERSEN DAVID M.
Form 4
August 17, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PETERSEN DAVID M.

(Last) (First) (Middle)

C/O CIVITAS SOLUTIONS,
INC., 313 CONGRESS STREET

(Street)

BOSTON, MA 02210

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Civitas Solutions, Inc. [CIVI]

3. Date of Earliest Transaction
(Month/Day/Year)
08/15/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Redwood Op. Group President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8) Code	(A) or (D)	Disposed of (Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 17	08/15/2018	D ⁽¹⁾		23,477	<u>(2)</u>	10/30/2018	Common Stock	23,477
Employee Stock Option (right to buy)	\$ 17	08/15/2018	A ⁽¹⁾	23,477		<u>(2)</u>	01/30/2019	Common Stock	23,477
Employee Stock Option (right to buy)	\$ 25.1	08/15/2018	D ⁽¹⁾		6,515	<u>(3)</u>	10/30/2018	Common Stock	6,515
Employee Stock Option (right to buy)	\$ 25.1	08/15/2018	A ⁽¹⁾	6,515		<u>(3)</u>	03/01/2019	Common Stock	6,515
Employee Stock Option (right to buy)	\$ 17.05	08/15/2018	D ⁽¹⁾		9,160	<u>(4)</u>	10/30/2018	Common Stock	9,160
Employee Stock Option (right to buy)	\$ 17.05	08/15/2018	A ⁽¹⁾	9,160		<u>(4)</u>	03/01/2019	Common Stock	9,160
Employee Stock Option (right to buy)	\$ 19	08/15/2018	D ⁽¹⁾		8,658	<u>(5)</u>	10/30/2018	Common Stock	8,658
Employee Stock Option (right to buy)	\$ 19	08/15/2018	A ⁽¹⁾	8,658		<u>(5)</u>	03/01/2019	Common Stock	8,658

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PETERSEN DAVID M. C/O CIVITAS SOLUTIONS, INC. 313 CONGRESS STREET BOSTON, MA 02210			Redwood Op. Group President	

Signatures

/s/ Gina L. Martin, by Power of Attorney

08/17/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reported transactions involve the amendments of four outstanding option grants to extend the post-service exercise period following the reporting person's retirement, resulting in the deemed cancellation of the "old" option and the grant of a replacement option. The

- (1) reporting person will retire on September 30, 2018. In addition, 2,172 unvested options with an exercise price of \$25.10 will vest on December 3, 2018, 3,054 unvested options with an exercise price of \$17.05 will vest on December 6, 2018 and 2,886 unvested options with an exercise price of \$19.00 will vest on December 8, 2018, notwithstanding the reporting person's retirement prior to such dates.
- (2) These options were fully vested.
- (3) Of these options, 4,343 were vested as of the date of this report, and 2,172 will vest on December 3, 2018.
- (4) Of these options, 3,053 were vested as of the date of this report, 3,054 will vest on December 6, 2018 and 3,053 were scheduled to vest on December 6, 2019 but will instead expire unexercised.
- (5) None of these options was vested as of the date of this report. Of these options, 2,886 will vest on December 8, 2018, and 2,886 were scheduled to vest on each of December 8, 2019 and December 8, 2020 but will instead expire unexercised.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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