Boone Karen Form 4 June 14, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * Boone Karen			2. Issuer Name <b>and</b> Ticker or Trading Symbol RH [RH]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(eneck un appreadie)		
			(Month/Day/Year)	Director 10% Owner		
C/O RH, 15 KOCH ROAD, SUITE			06/12/2018	X Officer (give title Other (specify below)		
K				See Remarks		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line)		
CORTE MADERA, CA 94925				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tal	ble I - Non-	Derivative	Secu	rities Acquire	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securit TransactionDisposed Code (Instr. 3, 4 (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/12/2018		M(1)	29,876 (2)	A	\$ 61.3	43,087	D	
Common Stock	06/12/2018		S(1)	1,200	D	\$ 144.1267 (3)	41,887	D	
Common Stock	06/12/2018		S <u>(1)</u>	400	D	\$ 144.8625 (4)	41,487	D	
Common Stock	06/12/2018		S <u>(1)</u>	10,900	D	\$ 146.1564 (5)	30,587	D	

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Common Stock	06/12/2018	S(1)	100	D	\$ 146.78 (6)	30,487	D
Common Stock	06/12/2018	S(1)	200	D	\$ 148.34 (7)	30,287	D
Common Stock	06/12/2018	S <u>(1)</u>	410	D	\$ 149.3178 (8)	29,877	D
Common Stock	06/12/2018	S <u>(1)</u>	400	D	\$ 150.9325 <u>(9)</u>	29,477	D
Common Stock	06/12/2018	S <u>(1)</u>	1,469	D	\$ 152.0593 (10)	28,008	D
Common Stock	06/12/2018	S(1)	224	D	\$ 153.3507 (11)	27,784	D
Common Stock	06/12/2018	S(1)	1,590	D	\$ 154.8808 (12)	26,194	D
Common Stock	06/12/2018	S(1)	3,180	D	\$ 155.9429 (13)	23,014	D
Common Stock	06/12/2018	S(1)	2,770	D	\$ 156.8053 (14)	20,244	D
Common Stock	06/12/2018	S(1)	1,907	D	\$ 157.7727 (15)	18,337	D
Common Stock	06/12/2018	S(1)	1,200	D	\$ 158.9842 (16)	17,137	D
Common Stock	06/12/2018	S(1)	2,100	D	\$ 159.9324 (17)	15,037	D
Common Stock	06/12/2018	S(1)	1,126	D	\$ 160.9846 (18)	13,911	D
Common Stock	06/12/2018	S(1)	400	D	\$ 162.1175 (19)	13,511	D
Common Stock	06/12/2018	S <u>(1)</u>	200	D	\$ 163.04 (20)	13,311	D
	06/12/2018	S(1)	100	D		13,211	D

Common \$ 164.31 (21)Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	FransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 61.3	06/12/2018		M	2	29,876	(22)	05/07/2024	Common Stock	29,876

## **Reporting Owners**

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

Boone Karen C/O RH

See Remarks 15 KOCH ROAD, SUITE K

CORTE MADERA, CA 94925

**Signatures** /s/ Edward T. Lee as

06/14/2018 Attorney-In-Fact

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed pursuant to a Rule 10b5-1 trading plan. (1)
- These shares of common stock were issued upon exercise of stock options granted to the reporting person on May 8, 2014. **(2)**

Reporting Owners 3

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- This transaction was executed in multiple trades ranging from \$143.51 to \$144.43. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$144.55 to \$145.37. The price reported above reflects the weighted

  (4) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$145.77 to \$146.16. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$146.78 to \$146.78. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$147.92 to \$148.76. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$149.01 to \$149.79. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$150.32 to \$151.17. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$151.78 to \$152.67. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$152.84 to \$153.78. The price reported above reflects the weighted (11) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$154.3 to \$155.28. The price reported above reflects the weighted average (12) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$155.31 to \$156.3. The price reported above reflects the weighted average (13) sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$156.31 to \$157.21. The price reported above reflects the weighted (14) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$157.37 to \$158.15. The price reported above reflects the weighted (15) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$158.48 to \$159.35. The price reported above reflects the weighted

  (16) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$159.51 to \$160.41. The price reported above reflects the weighted (17) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$160.53 to \$161.45. The price reported above reflects the weighted (18) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- This transaction was executed in multiple trades ranging from \$161.65 to \$162.53. The price reported above reflects the weighted (19) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.

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This transaction was executed in multiple trades ranging from \$162.98 to \$163.1. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.

- This transaction was executed in multiple trades ranging from \$164.31 to \$164.31. The price reported above reflects the weighted (21) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range set forth herein.
- (22) This option award will vest and become exercisable with respect to 20% of the options annually on the anniversary of the grant date, and will become fully vested on May 8, 2019.

#### **Remarks:**

#### President, Chief Financial and Administrative Officer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.