Malik Rajiv Form 4 March 06, 2018

# FORM 4

Check this box

if no longer

Section 16.

Form 4 or

subject to

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

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**SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Malik Rajiv

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

Mylan N.V. [MYL]

(Check all applicable)

**BUILDING 4, TRIDENT PLACE,** 

3. Date of Earliest Transaction

(Month/Day/Year) 03/02/2018

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

below)

below)

President

**MOSQUITO WAY** (Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

HATFIELD, HERTFORDSHIRE, X0 AL10 9UL

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactior Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Ordinary Shares	03/02/2018		S	50,000	D	\$ 40.9139 (1)	503,751	D			
Ordinary Shares	03/03/2018		M	12,395	A	\$ 0	516,146	D			
Ordinary Shares	03/03/2018		F	5,472 (2)	D	\$ 40.97	510,674	D			
Ordinary Shares	03/04/2018		M	5,264	A	\$ 0	515,938	D			
	03/04/2018		F		D	\$ 40.97	513,614	D			

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Ordinary Shares			2,324 (3)					
Ordinary Shares	03/04/2018	M	35,532	A	\$ 0	549,146	D	
Ordinary Shares	03/04/2018	F	14,977 (4)	D	\$ 40.97	534,169	D	
Ordinary Shares						250,000	I	By Grantor Retained Annuity Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	or No
Employee Stock Option - Right to Buy	\$ 40.97	03/02/2018		A	71,600		<u>(5)</u>	03/02/2028	Ordinary Shares	7
Restricted Stock Units	\$ 0	03/02/2018		A	43,935		<u>(6)</u>	<u>(6)</u>	Ordinary Shares	4
Restricted Stock Units	\$ 0	03/03/2018		M		12,395	<u>(7)</u>	<u>(7)</u>	Ordinary Shares	1
Restricted Stock Units	\$ 0	03/04/2018		M		5,264	<u>(8)</u>	<u>(8)</u>	Ordinary Shares	4
Performance Restricted Stock Units	\$ 0	03/04/2018		M		35,532	(9)	(9)	Ordinary Shares	3

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# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Malik Rajiv

BUILDING 4, TRIDENT PLACE, MOSQUITO WAY X President

HATFIELD, HERTFORDSHIRE, X0 AL10 9UL

# **Signatures**

/s/ Kevin Macikowski, by power of attorney

03/06/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the weighted average price of the reporting person's disposition of 50,000 shares in transactions ranging from \$40.78 to \$41.13. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of ordinary shares sold at each separate price within the range set forth in this footnote.
- (2) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of a portion of the restricted stock units (RSUs) granted on March 3, 2017.
- (3) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of a portion of the RSUs granted on November 17, 2015.
- (4) Represents withholding of ordinary shares for the tax liability associated with the vesting and settlement of the performance restricted stock units (PRSUs) granted on November 17, 2015.
- (5) 23,867 of the options will vest on March 2, 2019, 23,866 will vest on March 2, 2020, and 23,867 will vest on March 2, 2021. The options expire on March 2, 2028.
- (6) Each RSU represents the right to receive one ordinary share of Mylan N.V. The RSUs vest in three equal annual installments beginning on March 2, 2019.
- (7) Each RSU represents the right to receive one ordinary share of Mylan N.V. 12,395 of the RSUs granted on March 3, 2017 vested on March 3, 2018, and 12,395 will vest on each of March 3, 2019 and March 3, 2020.
- (8) Each RSU represents the right to receive one ordinary share of Mylan N.V. 5,264 of the RSUs granted on November 17, 2015 vested on each of March 4, 2016, March 4, 2017, and March 4, 2018.
- Each PRSU represents the right to receive one ordinary share of Mylan N.V. The PRSUs were initially granted on November 17, 2015, subject to the attainment of previously established two-year performance goals and a vesting period. The PRSUs fully vested on March 4, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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