Edgar Filing: QUALCOMM INC/DE - Form 4/A

| QUALCOM Form 4/A | | | | | | | | | | | |
|--|---|----------|---|---|------------------|----------|---------------|---|--|-------------------------------------|--|
| May 25, 201 FORN Check th | 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | PPROVAL 3235-0287 January 31, | |
| if no lon, subject to Section 2 Form 4 of Form 5 obligation may con See Instri 1(b). | er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF 6. SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940 | | | | | | | Expires: 200 Estimated average burden hours per response 0. | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| | | | 2. Issuer Name and Ticker or Trading Symbol QUALCOMM INC/DE [QCOM] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) (N | /liddle) | - | Earliest Tra | | | 1 | (Chec | k all applicable | e) | |
| 5775 MOREHOUSE DR. | | | (Month/Day/Year) 05/10/2017 | | | | | Director 10% Owner X Officer (give title Other (specify below) below) EVP, Qualcomm Technologies Inc | | | |
| | | | | nendment, Date Original onth/Day/Year) 2017 | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| SAN DIEG | O, CA 92121-171 | 4 | 03/11/20 | 517 | | | | | Aore than One Re | | |
| (City) | (State) | (Zip) | Table | e I - Non-D | erivative S | ecurit | ies Acq | uired, Disposed of | f, or Beneficial | lly Owned | |
| 1.Title of Security (Instr. 3) | (Month/Day/Year) Execution (nstr. 3) any | | 1 | | | | of | Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | |
| Common Stock | 05/10/2017 | | | Code V M | Amount 10,477 | (D) A | Price \$ 0 | (Instr. 3 and 4) 61,723 | D | | |
| Common Stock | 05/10/2017 | | | М | 13,805 | А | \$0 | 75,528 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 1 () | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and An Underlying Sec (Instr. 3 and 4) |
|---|---|---|---|--|-------|-------------|--|-----------------|---|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title P |
| Restricted Stock Unit | <u>(1)</u> | 05/10/2017 | | М | | 10,477.4567 | (2) | 05/05/2019(2) | Common Stock |
| Restricted Stock Unit | <u>(1)</u> | 05/10/2017 | | М | | 13,805.2032 | (3) | 05/05/2019(3) | Common Stock |

Reporting Owners

H.Thompson

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|-----------------------------------|-------|--|--|--|--|
| Reporting O when I tunio / Hutress | Director | 10% Owner | Officer | Other | | | | |
| THOMPSON JAMES H 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714 | | | EVP, Qualcomm Technologies Inc | | | | | |
| Signatures | | | | | | | | |
| By: Noreen E. Burns, Attorney-in- | Fact For: Jan | nes | 05/25/2017 | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

**Signature of Reporting Person

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit is the economic equivalent of one share of Qualcomm common stock and is converted into common stock upon vesting.

Date

- (2) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-fifth amounts on May 5, 2015, 2016, 2017, 2018 and 2019.
- (3) The Restricted Stock Units (and allocable dividend equivalents) vest in equal one-third amounts on May 5, 2017, 2018 and 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.