CytomX Therapeutics, Inc. Form 4

March 27, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

See Instruction

Exter Neil			Symbol	7 Therene	utice In	. [C	TMY	Issuer				
			Cytomz	CytomX Therapeutics, Inc. [CTMX]					(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	3. Date of Earliest Transaction								
			(Month/Day/Year)					X Director 10% Owner				
29 NEWBURY STREET, 3RD		03/23/2017					Officer (give title Other (specify below)					
FLOOR							below)					
(Street)		4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check					
			Filed(Mor	nth/Day/Year)			Applicable Line	•	ε.		
					,			**	by One Reporting	Person		
BOSTON, I	MA 02116							Form filed b	y More than One	Reporting		
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								ially Owned			
1.Title of	2. Transaction D	ate 2A. Dee	emed	3.	4. Securi	ties		5. Amount of	6.	7. Nature of		
Security	(Month/Day/Yea	ar) Execution	on Date, if	•			Securities	Ownership	Indirect			
(Instr. 3)			Code	Disposed			Beneficially	Form: Direct	Beneficial			
		(Month/	Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned Following	(D) or Indirect (I)	Ownership (Instr. 4)			
							Reported	(Instr. 4)	(111811.4)			
						(A)		Transaction(s)	(111511. 1)			
				C + V	A	or	ъ.	(Instr. 3 and 4)				
C				Code V	Amount	(D)	Price			Can facturate		
Common	03/23/2017			S	$0^{(1)}$	D	\$ 0 (1)	0 (2)	I	See footnote		
Stock							(1)			(3)		
Common	02/07/0017			a	0 (4)	_	\$0	0 (5)	T	See footnote		
Stock	03/27/2017			S	$0^{(4)}$	D	(4)	0 (5)	I	(3)		
							_			—		
Common								11 100		By The Neil		
Stock								11,199	I	Exter 2012		
										Irrevocable		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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1

(9-02)

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displays a currently valid OMB control

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date		Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	TP:41	or		
						Exercisable	Date	Title	Number		
				G 1 17	(A) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> 10% Owner Officer Director Other

Exter Neil

29 NEWBURY STREET, 3RD FLOOR X

BOSTON, MA 02116

Signatures

/s/ Neil Exter 03/27/2017

Date **Signature of

Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On March 23, 2017, Third Rock Ventures, L.P. ("TRV") sold 1,000,000 shares of Common Stock of the Issuer. The shares were sold in **(1)** one execution at a price per share of \$17.0002.
- (2) After the transaction referenced in note (1), TRV owned 5,820,348 shares of Common Stock of the Issuer.
- The reporting person is a partner of Third Rock Ventures GP, L.P., which is the general partner of TRV. The reporting person disclaims (3) beneficial ownership over the shares held by TRV, except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that he is the beneficial owner of such shares.
- On March 27, 2017, TRV sold 650,000 shares of Common Stock of the Issuer. The shares were sold in multiple transactions at prices ranging from \$18.00 to \$18.45, inclusive, and at a weighted average price of \$18.0003. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (4).
- (5) After the transaction referenced in note (4), TRV owned 5,170,348 shares of Common Stock of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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