

McLean Kerry J
Form 3
August 08, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

McLean Kerry J
(Last) (First) (Middle)

C/O INTUIT INC., 2700
COAST AVENUE

(Street)

MOUNTAIN
VIEW, CA 94043

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
08/01/2018

3. Issuer Name and Ticker or Trading Symbol
INTUIT INC [INTU]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
SVP, General Counsel

5. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

2,530

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	07/24/2017 ⁽¹⁾	07/23/2021	Common Stock	12,176	\$ 82.59	D	Â
Non-Qualified Stock Option (right to buy)	07/23/2018 ⁽¹⁾	07/22/2022	Common Stock	20,543	\$ 107.25	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽²⁾	07/20/2023	Common Stock	23,303	\$ 113.19	D	Â
Non-Qualified Stock Option (right to buy)	Â ⁽³⁾	07/19/2024	Common Stock	19,237	\$ 135.35	D	Â
Non-Qualiflied Stock Option (right to buy)	Â ⁽⁴⁾	07/25/2025	Common Stock	14,771	\$ 216.64	D	Â
Restricted Stock Unit	07/01/2019 ⁽⁵⁾	Â ⁽⁶⁾	Common Stock	1,325	\$ ⁽⁷⁾	D	Â
Restricted Stock Unit	Â ⁽⁸⁾	Â ⁽⁶⁾	Common Stock	2,424	\$ ⁽⁷⁾	D	Â
Restricted Stock Unit	Â ⁽⁹⁾	Â ⁽⁶⁾	Common Stock	3,461	\$ ⁽⁷⁾	D	Â
Restricted Stock Unit (performance-based vesting)	Â ⁽¹⁰⁾	Â ⁽⁶⁾	Common Stock	6,891	\$ ⁽⁷⁾	D	Â
Restricted Stock Unit (MSPP Purchased Award)	08/12/2019 ⁽¹¹⁾	Â ⁽⁶⁾	Common Stock	254	\$ ⁽⁷⁾	D	Â
Restricted Stock Unit (MSPP Matching Award)	08/12/2019 ⁽⁵⁾	Â ⁽⁶⁾	Common Stock	254	\$ ⁽⁷⁾	D	Â
Restricted Stock Unit (MSPP Purchased Award)	08/11/2020 ⁽¹¹⁾	Â ⁽⁶⁾	Common Stock	197	\$ ⁽⁷⁾	D	Â
Restricted Stock Unit (MSPP Matching Award)	08/11/2020 ⁽⁵⁾	Â ⁽⁶⁾	Common Stock	197	\$ ⁽⁷⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McLean Kerry J C/O INTUIT INC. 2700 COAST AVENUE MOUNTAIN VIEW,Â CAÂ 94043	Â	Â	Â SVP, General Counsel	Â

Signatures

/s/ Tyler Cozzens, by
power-of-attorney

08/08/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents final vesting date for all options under this award.
- (2) One third of the 23,303 options granted on 7/21/2016 vested on 7/21/2017 and thereafter 2.778% of the options vest monthly such that the award is fully vested on the third anniversary of the grant date.
- (3) One third of the 19,237 options granted on 7/20/2017 vested on 7/20/2018 and thereafter 2.778% of the options vest monthly such that the award is fully vested on the third anniversary of the grant date.
- (4) 25% of the 14,771 options granted on 7/26/2018 will vest on 7/26/2019 and thereafter 2.083% of the options vest monthly such that the award is fully vested on the fourth anniversary of the grant date.
- (5) Represents vesting date for Restricted Stock Units.
- (6) Restricted Stock Units do not expire; they either vest or are canceled prior to vest date.
- (7) 1-for-1
- (8) 1,212 RSUs vest on each of 7/1/2019 and 7/1/2020.
- (9) 25% of the RSUs vest on 7/1/2019 and thereafter for the next 3 years 6.25% vest on the following October 1, December 31, April 1, and July 1.
The target number of units subject to the award is presented in the table; the number that vest may be 0%-200% of this number
- (10) ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives; the awarded units will vest on 9/1/2021. Vested RSUs will be paid in an equal number of shares of Intuit Inc. common stock.
- (11) Represents release date for Restricted Stock Unit (MSPP Purchased Award).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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