

Johnson Gregory N  
Form 3  
August 08, 2018

**FORM 3**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Johnson Gregory N

(Last) (First) (Middle)

C/O INTUIT INC., 2700  
COAST AVENUE

(Street)

MOUNTAIN  
VIEW, CA 94043

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/01/2018

3. Issuer Name and Ticker or Trading Symbol  
INTUIT INC [INTU]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed (Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
EVP, Consumer Group

6. Individual or Joint/Group Filing (Check Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

2,529

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: Johnson Gregory N - Form 3

|                                                         |                           | Expiration<br>Date |                 | Amount or<br>Number of<br>Shares |                   | or Indirect<br>(I)<br>(Instr. 5) |   |
|---------------------------------------------------------|---------------------------|--------------------|-----------------|----------------------------------|-------------------|----------------------------------|---|
| Non-Qualified Stock<br>Option (right to buy)            | 07/24/2017 <sup>(1)</sup> | 07/23/2021         | Common<br>Stock | 803                              | \$ 82.59          | D                                | Â |
| Non-Qualified Stock<br>Option (right to buy)            | 07/23/2018 <sup>(1)</sup> | 07/22/2022         | Common<br>Stock | 6,955                            | \$ 107.25         | D                                | Â |
| Non-Qualified Stock<br>Option (right to buy)            | Â <sup>(2)</sup>          | 07/20/2023         | Common<br>Stock | 13,667                           | \$ 113.19         | D                                | Â |
| Non-Qualified Stock<br>Option (right to buy)            | Â <sup>(3)</sup>          | 07/19/2024         | Common<br>Stock | 19,542                           | \$ 135.35         | D                                | Â |
| Non-Qualified Stock<br>Option (right to buy)            | Â <sup>(4)</sup>          | 07/25/2025         | Common<br>Stock | 24,619                           | \$ 216.64         | D                                | Â |
| Restricted Stock Unit                                   | 07/01/2019 <sup>(5)</sup> | Â <sup>(6)</sup>   | Common<br>Stock | 1,473                            | \$ <sup>(7)</sup> | D                                | Â |
| Restricted Stock Unit                                   | Â <sup>(8)</sup>          | Â <sup>(6)</sup>   | Common<br>Stock | 2,463                            | \$ <sup>(7)</sup> | D                                | Â |
| Restricted Stock Unit                                   | Â <sup>(9)</sup>          | Â <sup>(6)</sup>   | Common<br>Stock | 5,769                            | \$ <sup>(7)</sup> | D                                | Â |
| Restricted Stock Unit<br>(performance-based<br>vesting) | Â <sup>(10)</sup>         | Â <sup>(6)</sup>   | Common<br>Stock | 7,866                            | \$ <sup>(7)</sup> | D                                | Â |
| Restricted Stock Unit<br>(performance-based<br>vesting) | Â <sup>(11)</sup>         | Â <sup>(6)</sup>   | Common<br>Stock | 9,012                            | \$ <sup>(7)</sup> | D                                | Â |
| Restricted Stock Unit<br>(performance-based<br>vesting) | Â <sup>(12)</sup>         | Â <sup>(6)</sup>   | Common<br>Stock | 7,490                            | \$ <sup>(7)</sup> | D                                | Â |
| Restricted Stock Unit<br>(performance-based<br>vesting) | Â <sup>(13)</sup>         | Â <sup>(6)</sup>   | Common<br>Stock | 11,486                           | \$ <sup>(7)</sup> | D                                | Â |

## Reporting Owners

| Reporting Owner Name / Address                                                         | Relationships |           |                             |       |
|----------------------------------------------------------------------------------------|---------------|-----------|-----------------------------|-------|
|                                                                                        | Director      | 10% Owner | Officer                     | Other |
| Johnson Gregory N<br>C/O INTUIT INC.<br>2700 COAST AVENUE<br>MOUNTAIN VIEW,Â CAÂ 94043 | Â             | Â         | Â EVP,<br>Consumer<br>Group | Â     |

## Signatures

/s/ Tyler Cozzens, by  
power-of-attorney

08/08/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents final vesting date for options under this award.
- (2) One third of the 25,893 options granted on 7/21/2016 vested on 7/21/2017 and thereafter 2.778% of the options vest monthly such that the award is fully vested on the third anniversary of the grant date.
- (3) One third of the 19,542 options granted on 7/20/2017 vested on 7/20/2018 and thereafter 2.778% of the options vest monthly such that the award is fully vested on the third anniversary of the grant date.
- (4) 25% of the 24,619 options granted on 7/26/2018 will vest on 7/26/2019 and thereafter 2.083% of the options vest monthly such that the award is fully vested on the fourth anniversary of the grant date.
- (5) Represents vesting date for Restricted Stock Units.
- (6) Restricted Stock Units do not expire; they either vest or are canceled prior to vesting date.
- (7) 1-for-1
- (8) 50% of these Restricted Stock Units vest on each of 7/1/2019 and 7/1/2020.  
Provided that a predetermined one year operating goal threshold is achieved, 25% of the awarded restricted stock units will vest on 7/1/2019 and thereafter 6.25% of the awarded restricted stock units will vest on each of October 1, December 31, April 1, and July 1 following the first vest date of 7/1/2019, until the award is fully vested.
- (9) The target number of units subject to the award is presented in the table; the number that vests may be 0%-200% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2018. Vested Restricted Stock Units will be paid in an equal number of shares of Intuit Inc. common stock.
- (10) The target number of units subject to the award is presented in the table; the number that vests may be 0%-200% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2019. Vested Restricted Stock Units will be paid in an equal number of shares of Intuit Inc. common stock.
- (11) The target number of units subject to the award is presented in the table; the number that vests may be 0%-200% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2020. Vested Restricted Stock Units will be paid in an equal number of shares of Intuit Inc. common stock.
- (12) The target number of units subject to the award is presented in the table; the number that vests may be 0%-200% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2021. Vested Restricted Stock Units will be paid in an equal number of shares of Intuit Inc. common stock.
- (13) The target number of units subject to the award is presented in the table; the number that vests may be 0%-200% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2021. Vested Restricted Stock Units will be paid in an equal number of shares of Intuit Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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