Sullivan James T Form 3 August 12, 2005

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Sullivan James T

(Last)

**CENTER** 

(First)

C/O ROCKWOOD HOLDINGS.

(Street)

INC., 100 OVERLOOK

(Middle)

Statement

(Month/Day/Year)

08/12/2005

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Rockwood Holdings, Inc. [ROC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other

(give title below) (specify below) Controller & Princ. Acct. Off. 6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

PRINCETON. NJÂ 08540

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3.

Ownership Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I)

SEC 1473 (7-02)

(Instr. 5) Â D

Common Stock, par value \$0.01 per share 8,556

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. 4. Conversion

Ownership or Exercise Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative

2. Date Exercisable and (Instr. 4) **Expiration Date** 

(Instr. 4)

Security:

## Edgar Filing: Sullivan James T - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	(1)	01/01/2012	Common Stock	6,845	\$ 14.61	D	Â
Employee Stock Options (right to buy)	(2)	10/15/2014	Common Stock	10,268	\$ 14.61	D	Â
Employee Stock Options (right to buy)	(3)	01/01/2012	Common Stock	6,845	\$ 14.61	D	Â
Employee Stock Options (right to buy)	(4)	10/15/2014	Common Stock	13,690	\$ 14.61	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Ketationsinps					
	Director	10% Owner	Officer	Other		
Sullivan James T						
C/O ROCKWOOD HOLDINGS. INC. 100 OVERLOOK CENTER	Â	Â	Controller & Princ. Acct. Off.	Â		

Relationshin

# **Signatures**

PRINCETON, NJÂ 08540

/s/ James T.
Sullivan

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options were granted on January 1, 2002 and vest 10% on each of the first and second anniversary of grant, 25% on each of the third and fourth anniversary of grant and 30% on the fifth anniversary of grant.
- (2) These options were granted on October 15, 2004 and vest in five equal annual installments beginning October 15, 2005.
- (3) These options vest on January 1, 2010, but may vest earlier upon the satisfaction of certain performance targets.
- (4) These options vest on October 15, 2012, but may vest earlier upon the satisfaction of certain performance targets.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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