Rockwood Holdings, Inc.

Form 3

August 12, 2005

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Rockwood Holdings, Inc. [ROC] FISHER TODD A (Month/Day/Year) 08/12/2005 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O KOHLBERG KRAVIS (Check all applicable) ROBERTS & CO. L.P., Â 9 WEST 57TH STREET, SUITE \_X\_ Director \_\_X\_\_ 10% Owner 4200 Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting NEW YORK, NYÂ 10019 Person Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (Instr. 5) I See Notes (1) (6) Common Stock, par value \$0.01 per share 4,445,896 Common Stock, par value \$0.01 per share 19,138,916 I See Notes (2) (6) Common Stock, par value \$0.01 per share I See Notes (3) (6) 164,282 Common Stock, par value \$0.01 per share 398,727 Ι See Notes (4) (6) Common Stock, par value \$0.01 per share 13,337,689 Ι See Notes (5) (6) Series A Participating Preferred Stock, par I See Notes (1) (6) (7) 25,000 value \$0.01 p/sh

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Edgar Filing: Rockwood Holdings, Inc. - Form 3

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of Derivative Security	2. Date Exercisable and		3. Title and Amount of		4.	5.	<ol><li>Nature of Indirect</li></ol>	
	(Instr. 4)	Expiration Date (Month/Day/Year)		Securities Underlying		Conversion	Ownership	Beneficial Ownership	
				Derivative Security		or Exercise	Form of	(Instr. 5)	
				(Instr. 4)		Price of	Derivative		
		Date Exercisable	Expiration Date			Derivative	Security:		
				Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)		
	Warrants to purchase Common Stock	(8)	07/23/2013	Common Stock	958,315	\$ 14.61	I	See Notes (1) (6)	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
• 0	Director	10% Owner	Officer	Other	
FISHER TODD A					
C/O KOHLBERG KRAVIS ROBERTS & CO. L.P.	λv	ÂΧ	Â	â	
9 WEST 57TH STREET, SUITE 4200	АЛ	АЛ	A	A	
NEW YORK, NY 10019					

## **Signatures**

/s/ William J. Janetschek, Attorney-in-fact 08/12/2005

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These securities are held of record by KKR Millennium Fund L.P. As the sole general partner of KKR Millennium Fund LP., KKR Associates Millennium L.P. may be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P. As the

- (1) sole general partner of KKR Associates Millennium L.P., KKR Millennium GP LLC also may be deemed to he the beneficial owner of such securities held by KKR Millennium Fund L.P. As a member of KKR Millennium Fund GP LLC, the Reporting Person may also be deemed to be the beneficial owner of such securities held by KKR Millennium Fund L.P.
  - These securities are held of record by KKR 1996 Fund L.P. As the sole general partner of KKR 1996 Fund L.P., KKR Associates 1996 L.P. may be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P. As the sole general partner of KKR
- (2) Associates 1996 L.P., KKR 1996 GP LLC also may be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P. As a member of KKR 1996 GP LLC, the Reporting Person may also be deemed to be the beneficial owner of such securities held by KKR 1996 Fund L.P.
  - These securities are held of record by KKR Partners II, L.P. As a general partner of KKR Partners II, L.P., KKR Associates (Strata) L.P. may be deemed to be the beneficial owner of such securities held by KKR Partners II, L.P. As the sole general partner of KKR
- (3) Associates (Strata) L.P., Strata L.L.C. also may be deemed to be the beneficial owner of such securities held by KKR Partners II, L.P. As a member of Strata L.L.C., the Reporting Person may also be deemed to be the beneficial owner of such securities held by KKR Partners II, L.P.

Reporting Owners 2

#### Edgar Filing: Rockwood Holdings, Inc. - Form 3

- These securities are held of record by KKR Partners III, L.P. (Series F). As the sole general partner of KKR Partners III, L.P., KKR III

  GP LLC may be deemed to be the beneficial owner of such securities held by KKR Partners III, L.P. (Series F). As a member of KKR III GP LLC, the Reporting Person may also be deemed to be the beneficial owner of such securities held by KKR Partners III, L.P. (Series F).

  (Series F).
  - The securities are held of record by KKR European Fund, Limited Partnership. As the sole general partner of KKR European Fund, Limited Partnership, KKR Associates Europe, Limited Partnership may he deemed to be the beneficial owner of such securities held by
- KKR European Fund, Limited Partnership. As the sole general partner of KKR Associates Europe, Limited Partnership, KKR Europe Limited also may be deemed to be the beneficial owner of such securities held by KKR European Fund, Limited Partnership. As a director of KKR Europe limited, the Reporting Person also may be deemed to be the beneficial owner of such securities held by KKR European Fund, Limited Partnership.
- (6) The filing of this statement shall not be deemed an admission that, for the purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or otherwise, the Reporting Person is the beneficial owner of any equity securities covered by this statement. The Reporting Person disclaims beneficial ownership of the securities that may be deemed to be beneficially owned by him, except to the extent of his own pecuniary interest therein.
- (7) The Issuer intends to redeem all of the outstanding Series A Participating Preferred Stock with a portion of the net proceeds from its initial public offering.
- (8) The Warrants to purchase Common Stock are exercisable at any time and expire on July 23, 2013.

Â

#### Remarks:

KKR Millennium GP LLC, KKR 1996 GP LLC, Strata L.L.C., KKR III GP LLC and KKRÂ Europ Person is a member, and certain of their respective affiliates constitute a group with DLJÂ Merchant. purposes of Section 13(d) of the Securities Exchange Act of 1934, as amended.Â

#### Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.