

CHARTER COMMUNICATIONS INC /MO/

Form 4

October 15, 2002

| OMB APPROVAL |
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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935
or Section 30(h) of the Investment Company Act of 1940**

- ☐ Check this box if no longer
subject to Section 16.
Form 4 or Form 5
obligations may continue.
See Instruction 1(b).

| | | | | | | | | | | | | | | | | | | | | | | |
|---|--|---|-----------|---|-----------|---|-----------------------------------|--|--|---|------------------------------|--|--|--|----------|--|--|---|---|------------------------------------|---|--|
| 1. Name and Address of Reporting Person* Allen, Paul G. <hr/> <i>(Last) (First) (Middle)</i> c/o Charter Communications, Inc. 12405 Powerscourt Drive <hr/> <i>(Street)</i> | 2. Issuer Name and Ticker or Trading Symbol Charter Communications, Inc. - CHTR <hr/> | 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) <hr/> | | | | | | | | | | | | | | | | | | | | |
| St. Louis, MO 63131 <hr/> <i>(City) (State) (Zip)</i> | 4. Statement for Month/Day/Year 10/10/02 <hr/> | 5. If Amendment, Date of Original (Month/Day/Year) <hr/> | | | | | | | | | | | | | | | | | | | | |
| 6. Relationship of Reporting Person(s) to Issuer (Check All Applicable) <table style="width: 100%; border: none;"> <tr> <td style="width: 10%; text-align: center;">x</td> <td style="width: 40%;">Director</td> <td style="width: 10%; text-align: center;">x</td> <td style="width: 40%;">10% Owner</td> </tr> <tr> <td style="text-align: center;">x</td> <td>Officer <i>(give title below)</i></td> <td></td> <td></td> </tr> <tr> <td style="text-align: center;">o</td> <td>Other <i>(specify below)</i></td> <td></td> <td></td> </tr> <tr> <td></td> <td>Chairman</td> <td></td> <td></td> </tr> </table> <hr/> | | x | Director | x | 10% Owner | x | Officer <i>(give title below)</i> | | | o | Other <i>(specify below)</i> | | | | Chairman | | | 7. Individual or Joint/Group Filing (Check Applicable Line) <table style="width: 100%; border: none;"> <tr> <td style="width: 10%; text-align: center;">x</td> <td style="width: 90%;">Form Filed by One Reporting Person</td> </tr> <tr> <td style="text-align: center;">o</td> <td>Form Filed by More than One Reporting Person</td> </tr> </table> | x | Form Filed by One Reporting Person | o | Form Filed by More than One Reporting Person |
| x | Director | x | 10% Owner | | | | | | | | | | | | | | | | | | | |
| x | Officer <i>(give title below)</i> | | | | | | | | | | | | | | | | | | | | | |
| o | Other <i>(specify below)</i> | | | | | | | | | | | | | | | | | | | | | |
| | Chairman | | | | | | | | | | | | | | | | | | | | | |
| x | Form Filed by One Reporting Person | | | | | | | | | | | | | | | | | | | | | |
| o | Form Filed by More than One Reporting Person | | | | | | | | | | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* instruction 4(b)(v).

Table I Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security <i>(Instr. 3)</i> | 2. Transaction Date <i>(Month/Day/Year)</i> | 2A. Deemed Execution Date, if any <i>(Month/Day/Year)</i> | 3. Transaction Code <i>(Instr. 8)</i> | 4. Securities Acquired (A) or Disposed of (D) <i>(Instr. 3, 4 and 5)</i> | 5. Amount of Securities Beneficially Owned Following Reported Trans- action(s) <i>(Instr. 3 and 4)</i> | 6. Ownership Form: Direct (D) or Indirect (I) <i>(Instr. 4)</i> | 7. Nature of Indirect Beneficial Ownership <i>(Instr. 4)</i> |
|---|--|--|--|--|---|--|---|
| | | | Code V | Amount | (A) or (D) | Price | |
| Class A Common Stock | 10/10/02 | | X | 276,591 | A | \$21.6515 (1) (2) | D |

Table II Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security <i>(Instr. 3)</i> | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date <i>(Month/Day/Year)</i> | 3A. Deemed Execution Date, if any <i>(Month/Day/Year)</i> | 4. Transaction Code <i>(Instr. 8)</i> | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) <i>(Instr. 3, 4 and 5)</i> |
|--|--|--|--|--|--|
| | | | | Code V | (A) (D) |
| Put Options (obligation to buy) | \$21.6515 (1) | 10/10/02 | | X | 276,591 (3) |

| Table II | Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | Continued |
|----------|--|-----------|
|----------|--|-----------|

| 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--|---|---|---|---|
|---|--|---|---|---|---|

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |
|---------------------|--------------------|----------------------------|----------------------------------|-------------|---|
| 2/12/02 | 11/12/03 | Class A Common Stock | 276,591 | 799,720 (3) | D |

Explanation of Responses:

(1) Includes interest accrued at a rate of 4.5% per year, compounded annually, since November 12, 1999 through the estimated closing date of October 31, 2002, on the original purchase price of \$19.00 per share pursuant to the put agreement under which the shares of Class A Common Stock are to be purchased.

(2) Represents post-transaction aggregate.

(3) The reporting person is party to put agreements dated November 12, 2001 pursuant to which he agreed to purchase from the put parties any or all shares of the put parties' Class A Common Stock for \$19.00, plus interest at a rate of 4.5% per year, compounded annually, since November 12, 1999. After exercise of the put rights set forth herein, 799,720 shares of Class A Common Stock are subject to the put agreements.

/s/ JOSEPH FRANZI

Oct. 14, 2002

****Signature of Reporting
Person**

Date _____

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Joseph Franzi as
Attorney-in-Fact for Paul
G. Allen pursuant to a
Power of Attorney filed
with the Reporting Person's
Schedule 13G for
Pathogenesis, Inc. on
August 30, 1999 and
incorporated by reference
herein.

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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