Guin Timothy W Form 4 February 28, 2019

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Guin Timothy W

(First)

(State)

2. Issuer Name and Ticker or Trading Symbol

Issuer

USA TRUCK INC [USAK]

3. Date of Earliest Transaction

(Month/Day/Year) 3200 INDUSTRIAL PARK ROAD 02/26/2019

(Middle)

(Zip)

Director 10% Owner X\_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

(Check all applicable)

below) below) **EVP & Chief Commercial Officer** 

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

VAN BUREN, AR 72956

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Owned Following Reported Transaction(s)

Indirect (I) (Instr. 4)

D

Beneficial Ownership (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(Instr. 3 and 4) Amount (D) Price

Code V

Common 02/26/2019 Stock

26,087 A A \$0 42,732 (1)

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Guin Timothy W - Form 4

I S	. Title of Derivative Security Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
() () ()	Employee Stock Option right to ouy common tock)	\$ 17.75	02/26/2019		A		17,505		(2)	02/26/2029	Common Stock	17,505

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Guin Timothy W 3200 INDUSTRIAL PARK ROAD VAN BUREN, AR 72956

**EVP & Chief Commercial Officer** 

## **Signatures**

/s/ Zachary B. King, attorney-in-fact pursuant to POA previously filed

02/28/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents an award of restricted stock to the reporting person under the USA Truck, Inc. 2014 Omnibus Incentive Plan, as amended. Of the reported restricted stock, (i) 15,608 shares are subject to time-based vesting in four equal annual installments beginning on the first

- (1) anniversary of the grant date and (ii) 10,479 shares are subject to performance-based vesting at the conclusion of a three-year performance period ending December 31, 2021. Vesting of the restricted shares is subject to continued employment and certain vesting, forfeiture, termination provisions.
- Represents stock option award under the USA Truck, Inc., Omnibus Incentive Plan, as amended, that will vest in four annual increments beginning on the first anniversary of the grant date, and is subject to continued employment and certain vesting, forfeiture, and termination provisions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2