JOHNSON CARL J Form 4

March 21, 2013 FORM 4

## **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Number:

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Washington, D.C. 20549

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * JOHNSON CARL J			2. Issuer Name <b>and</b> Ticker or Trading Symbol II-VI INC [IIVI]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First)		(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
(Last)	(1 1131)	(Wildaic)	(Month/Day/Year)	X Director 10% Owner			
18 WINDSOR RIDGE			03/19/2013	Officer (give title below) Other (speci-			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
FRISCO, TX 75034				Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secui	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed		3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Commo	n 03/19/2013		M	18,100	` ′	\$ 8.44	3,695,633	D	
Commo	n 03/19/2013		S	18,100	D	\$ 17.377 (1)	3,677,533	D	
Commo	n 03/20/2013		M	9,900	A	\$ 8.44	3,687,433	D	
Commo	n 03/20/2013		S	9,900	D	\$ 17.2621 (2)	3,677,533	D	
Commo	n 03/20/2013		M	8,200	A	\$ 9.545	3,685,733	D	

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Common Stock	03/20/2013	S	8,200	D	17.2621 (2)	3,677,533	D	
Common Stock						1,454,128	I	By Spouse (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option (Right to buy)	\$ 8.44	03/19/2012		M	18,100	<u>(4)</u>	05/31/2013	Common Stock	18,100
Option (Right to buy)	\$ 8.44	03/20/2013		M	9,900	<u>(4)</u>	05/31/2013	Common Stock	9,900
Option (Right to buy)	\$ 9.545	03/20/2013		M	8,200	<u>(5)</u>	05/31/2013	Common Stock	8,200

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner France / Francess	Director	10% Owner	Officer	Other			
JOHNSON CARL J 18 WINDSOR RIDGE FRISCO, TX 75034	X						

Reporting Owners 2

## **Signatures**

/s/ Michelle L. Freehling, Attorney-in-Fact

03/21/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the weighted average of multiple sales transactions ranging in price from \$17.34 to \$17.44. The reporting person agrees to provide full information regarding the number of shares sold at each separate price upon request by the SEC staff, the Company or a security holder of the Company.
- Represents the weighted average of multiple sales transactions ranging in price from \$17.09 to \$17.42. The reporting person agrees to provide full information regarding the number of shares sold at each separate price upon request by the SEC staff, the Company or a security holder of the Company.
- (3) The reporting person disclaims beneficial ownership of shares owned by his spouse.
- (4) The option vested in five equal annual installments beginning on 8/13/2005.
- (5) The option vested in five equal annual installments beginning on 2/24/2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3