

NEIMAN MARCUS GROUP INC
Form 4
April 26, 2002

FORM 4

UNITED STATES SECURITIES AND
EXCHANGE
COMMISSION

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations my continue. See Instruction 1(b).

Washington, D.C. 20549

OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

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(Print or Type Response)

| | | |
|---|--|--|
| <p>1. Name and Address of Reporting Person*</p> <p>Tansky Burton M. (Last) (First) (Middle)</p> <p>The Neiman Marcus Group, Inc. 1618 Main St.</p> | <p>2. Issuer Name and Ticker or Trading Symbol</p> <p>The Neiman Marcus Group, Inc. (NMG.A)</p> <p>3. IRS or Social Security Number of Reporting Persons (Voluntary)</p> | <p>6. Relationship of Reporting Person(s) to Issuer (check all applicable)</p> <p><input checked="" type="checkbox"/> Director 10%</p> <p>Owner</p> <p><input checked="" type="checkbox"/> Officer Other</p> <p>(specify below)</p> <p>President and Chief Executive Officer</p> |
| <p>(Street)</p> <p>Dallas Texas 75201</p> | <p>4. Statement for Month/Year</p> <p>April 2002</p> | <p>7. Individual or Joint/Group Filing (Check Applicable)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p>Form filed by More than One Reporting Person</p> |
| <p>(City) (State) (Zip)</p> | <p>5. If Amendment, Date of Original (Month/Year)</p> | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------|---------------------|--------------------------------|---|---|--|---|
|----------------------|---------------------|--------------------------------|---|---|--|---|

| | (Month/ Day/ Year) | Code | V | Amount | (A) or (D) | Price | | | |
|--|--------------------------|------|---|--------|------------------|-----------|--------|---|--|
| Common Stock, Class A | 4/10/02 | M | | 10,000 | A | \$12.00 | | D | |
| Common Stock, Class A | 4/12/02 | M | | 21,500 | A | \$14.50 | | D | |
| Common Stock, Class A | 4/10/02 | S | | 10,000 | D | \$38.4147 | | D | |
| Common Stock, Class A | 4/12/02 | S | | 15,000 | D | \$38.0469 | | D | |
| Common Stock, Class A | 4/12/02 | S | | 10,500 | D | \$38.48 | | D | |
| Common Stock, Class A | 4/15/02 | S | | 6,500 | D | \$37.0595 | 33,000 | D | |
| Common Stock, C l a s s A (Restricted) | | | | | | | 12,000 | D | |
| Common Stock, Class A (PARS) | | | | | | | 27,900 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Deriv- ative Sec- urity (Instr. 3) | 2. Conver- sion or Exercise Price of Deriv- ative Security | 3. Trans- action Date (Month/ Day/ Year) | 4. Tran- saction Code (Instr. 8) | 5. Number of Deriv- ative secur- ities Acq- quired (A) or Dis- posed of (D) (Instr. 3, 4 and 5) | 6. Date Exer- cisable and Ex- piration Date (Month/Day/ Year) | 7. Title and Amount of Underlying Sec- urities (Instr. 3 and 4) | 8. Price of Deriv- ative Secur- ity (Instr. 5) | 9. Num- ber of Deriv- ative Secur- ities Ben- eficially Owned at End of Month (Instr. 4) | 10. Own- ership Form of Deriva- tive Secur- ity: Direct (D) or Indirect (i) Instr. 4 | 11. Na- ture of Indirect Benefi- cial Own- ership (Instr. 4). | |
|--|--|---|--|---|---|--|---|--|---|---|--|
| Employee Stock | \$12.00 | 4/10/02 | M | 10,000 | 9-21-1993 -thru | Common | 10,000 | \$12.00 | | D | |

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|--------------------------------|---------|---------|---|--------|-----------------------------|-----------------|--------|---------|---------|---|--|
| Option(1) | | | | | 9-20-2002 | Stock | | | | | |
| Employee Stock Option(1) | \$14.50 | 4/12/02 | M | 21,500 | 9-17-1994 thru 9-16-2003 | Common Stock | 21,500 | \$14.50 | 368,200 | D | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | | | |

Explanation of Responses:

(1) Option granted pursuant to The Neiman Marcus Group, Inc. 1987 Stock Incentive Plan that includes tax withholding rights. Options vest at a rate of 20% per year beginning on the first anniversary date of the grant.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

*If the form is filed by more than one person, see Instruction 4(b)(v). Burton M. Tansky April 24, 2002
 **Signature of Reporting Person Date

** Intentional misstatements or omission of Person facts constitute Federal Criminal Violations. See 18 U.S. 1001 and 15 U.S.C. 78ff(a).
 If space is insufficient, see Instruction 6 for procedures.