## Edgar Filing: NAVISTAR INTERNATIONAL CORP - Form 4

## NAVISTAR INTERNATIONAL CORP

Form 4

February 05, 2016

| FORM 4 UNITED STATES SECURITIES AND EVCHANCE COMMISSION  |   |                  |        |   |             |   |            |  | _  | OMB APPROVAL   |  |  |
|--|---|------------------|--------|---|-------------|---|------------|--|--|----------------|--|--|
| Washington, D.C. 20549   |   |                  |        |   |             |   |            |  | OMB<br>Number:   | 3235-0287      |  |  |
| Check this box if no longer  CHAPPEN CENTROLE CHAPPEN CEN |   |                  |        |   |             |   |            |  | Expires:   | January 31,    |  |  |
| subject to<br>Section 10<br>Form 4 or  | GES IN I<br>SECURI  |                  | CIA    | L OW  | NERSHIP OF  | Estimated average burden hours per response 0.5 |            |  |  |                |  |  |
| Form 5 obligations may continue.  See Instruction 1(b).  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940  |   |                  |        |   |             |   |            |  |  |                |  |  |
| (Print or Type Responses)  |   |                  |        |   |             |   |            |  |  |                |  |  |
| Covey Steven K Symb  |   |                  |        | Name and  |             |   |            | 5. Relationship of Reporting Person(s) to Issuer   |  |                |  |  |
|  | CORP []   | TAR INTI<br>NAV] | ERNATI | ION A   | AL          | (Check all applicable)                          |            |  |  |                |  |  |
|  |   |                  |        | Earliest Tra<br>ay/Year)  | nsaction    |   |            | Director 10% Owner Other (specify below)   |  |                |  |  |
| N A V/ISTA D INTEDNIATION AT 00/02/2016  |   |                  |        |   |             |   |            | General Coun   | sel  |                |  |  |
|  |   |                  |        | dment, Date Original<br>h/Day/Year)   |             |   |            | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |                |  |  |
| LISLE, IL 60532  |   |                  |        |   |             |   |            | Form filed by More than One Reporting Person   |  |                |  |  |
| (City)   | (State) (   | Zip)             | Table  | I - Non-Do  | erivative S | Securi  | ities Aco  | quired, Disposed of  | f, or Beneficial   | lly Owned      |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) |                  |        | 3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or |             |   |            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                |  |  |
| Common   | 02/02/2017  |                  |        | Code V  |             | (D)   | Price \$ 0 | · ·  | Б  |                |  |  |
| Stock  | 02/03/2016  |                  |        | M   | 844         | A   | <u>(1)</u> | 27,309   | D  |                |  |  |
| Common<br>Stock  | 02/03/2016  |                  |        | D   | 844         | D   | \$<br>6.94 | 26,465   | D  |                |  |  |
| Premium Share Units (2)  |   |                  |        |   |             |   |            | 3,601  | D  |                |  |  |
| Common<br>Stock  |   |                  |        |   |             |   |            | 2,418.6155   | I  | By<br>Navistar |  |  |

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401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| Derivative<br>Security<br>(Instr. 3) | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | Transactic<br>Code<br>(Instr. 8) | 5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | Securit<br>(Instr. |
|--------------------------------------|---|--------------------------------------|----------------------------------|---|--|--------------------|---|--|--------------------|
|                                      |   |                                      | Code V                           | ŕ   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                    |
| Restricted<br>Stock<br>Units         | (1)   | 02/03/2016                           | M                                | 844   | (3)  | (3)                | Common<br>Stock   | 844                                    | \$ (               |

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Covey Steven K NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532

SVP & General Counsel

# **Signatures**

Curt A. Kramer, Attorney

in fact 02/05/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

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Each restricted stock unit (RSU) represents the right to receive the cash equivalent of Navistar's common stock converted on a 1 to 1 basis, unless the Corporation elects to settle the RSUs in common stock.

- (2) The premium share units were acquired under Navistar's Executive Stock Ownership Program. Each premium share unit represents one share of Navistar common stock.
- The RSUs disposed were from an award of 2,543 RSUs that became or will become payable in cash, unless the Corporation elects to settle the RSUs in common stock, in three annual installments as follows: 845 shares on 2/3/2015; 844 shares on 2/3/2016; and 845 shares on 2/3/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.