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NAVISTAR INTERNATIONAL CORP

Form 4

February 05, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

Estimated average

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Premium **Share Units**

Stock

Stock

(2)

02/03/2016

02/03/2016

(Print or Type Responses)

		Address of Reporting Person ** in William V		Symbol	ΓAR INT	Ticker or Trading ERNATIONAL	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle)			3. Date of (Month/Da	Earliest Tra	ansaction	Director 10% OwnerX Officer (give title Other (specify below) President Fin Sery & Treasurer				
NAVISTAR INTERNATIONAL				02/03/20						
	CORPORAT	TION, 2701 NAV	ISTAR				Trestaent	1111 561 (62 116	usurer	
	DRIVE									
		(Street)		4. If Amer	ndment, Dat	te Original	6. Individual or J	oint/Group Filir	ng(Check	
				Filed(Mon	th/Day/Year)		Applicable Line) _X_ Form filed by One Reporting Person			
	LISLE, IL 6	SLE, IL 60532					Form filed by More than One Reporting Person			
	(City)	(State) (Z	Zip)	Table	e I - Non-D	erivative Securities Acq	quired, Disposed of, or Beneficially Owned			
	1.Title of	2. Transaction Date	2A. Deen	ned	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of	
	Security	(Month/Day/Year)	Execution	n Date, if		on(A) or Disposed of	Securities	Form: Direct	Indirect	
	(Instr. 3)		any		Code	(D)	Beneficially	(D) or	Beneficial	
			(Month/D	(ay/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
						(A)	Reported Transaction(s)			
						or	(Instr. 3 and 4)			

Code V

M

D

Amount

410

410

(D)

D

Price

13,316

12,906

1,681

D

D

D

\$0

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	onof Derivative	Expiration I (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(1)</u>	02/03/2016		M	410	(3)	(3)	Common Stock	410	\$ 0

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

McMenamin William V NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532

President Fin Serv & Treasurer

Signatures

Curt A. Kramer, Attorney

in fact 02/05/2016

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock unit (RSU) represents the right to receive the cash equivalent of Navistar's common stock converted on a 1 to 1 **(1)** basis, unless the Corporation elects to settle the RSUs in common stock.
- The premium share units were acquired under Navistar's Executive Stock Ownership Program. Each premium share unit represents one share of Navistar common stock.
- (3) The RSUs disposed were from an award of 1,230 RSUs that became or will become payable in cash, unless the Corporation elects to settle the RSUs in common stock, in three annual installments as follows: 410 shares on 2/3/2015; 410 shares on 2/3/2016; and 410 shares

Reporting Owners 2

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on 2/3/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.