Elliott Greg W Form 4 March 19, 2013

### FORM 4

# **OMB APPROVAL**

#### **OMB**

Check this box if no longer

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0287 Number: January 31,

Expires:

subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Elliott Greg W

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

NAVISTAR INTERNATIONAL

(Check all applicable)

(Last) (First) (Middle) CORP [NAV]

Director 10% Owner

3. Date of Earliest Transaction (Month/Day/Year)

Officer (give title \_\_X\_ Other (specify below) below)

C/O NAVISTAR **INTERNATIONAL** CORPORATION, 2701 NAVISTAR 03/15/2013

SVP HR & Admin. Operating Sub

**DRIVE** 

Common

Common

Stock

03/15/2013

03/15/2013

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

D

D

\$ 22.655 22,075

17,101

**LISLE, IL 60532** 

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/15/2013		M	8,828	A	\$ 22.655 \$	25,929	D	
Common Stock	03/15/2013		S	8,828	D	36.6999 (1)	17,101	D	

4,974

4.974 D

\$

M

S

#### Edgar Filing: Elliott Greg W - Form 4

Stock	36.6468 (2)						
Premium Shares (3)	2,	,758	D				
Common Stock	24	45.6168	I	By Navistar 401(k) Plan			
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.							
	Persons who respond to information contained in required to respond unlidisplays a currently valinumber.	ire not 1	SEC 1474 (9-02)				

## $\label{thm:convertible} \textbf{Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned} \\ \textit{(e.g., puts, calls, warrants, options, convertible securities)}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Expiration Date curities (Month/Day/Year) quired ) or sposed of ) str. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 22.655	03/15/2013		M	8,828	<u>(4)</u>	12/16/2018	Common Stock	8,828
Employee Stock Option (right to buy)	\$ 22.655	03/15/2013		M	4,974	<u>(5)</u>	12/16/2018	Common Stock	4,974

## **Reporting Owners**

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	

Reporting Owners 2

Elliott Greg W C/O NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532 SVP HR & Admin. Operating Sub

### **Signatures**

Curt A. Kramer, Attorney in fact

03/19/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.743 to \$36.633, inclusive. The reporting person undertakes to provide Navistar International Corporation, any secuirty holder of Navistar International Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote 1 and 2 to this Form 4.
- (2) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$36.663 to \$36.623, inclusive.
- (3) The premium share units were acquired under Navistar's Executive Stock Ownership Program. Each premium share unit represents one share of Navistar common stock.
- (4) The stock options exercised were from an award of 13,242 shares that became exercisable in three annual installments as follows: 4,414 shares on 12/16/2009; 4,414 shares on 12/16/2010 and 4,414 shares on 12/16/2011.
- The stock options exercised were from an award of 7,461 shares that became exercisable in three annual installments as follows: 2,487 shares on 12/16/2009; 2,487 shares on 12/16/2010 and 2,487 shares on 12/16/2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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