Edgar Filing: LOUNSBERRY STEPHEN M III - Form 4

LOUNSBERRY STEPHEN M III Form 4 April 09, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. ..0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Add | | | me and Tic ïnancial C | | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | | |
|---------------------------------------|--|----------------------|---|------|---|--|--|---|--|---|--|--|--|
| (Last) 3186 East River | of Reporting Person, | | | | | . Statement for Ionth/Day/Year .pril 7. 2003 | X Director 10% Owner Officer (give title below) Other (specify below) | | | | | | |
| (Street) Nichols, NY 13812 | | | | | | | D | • | 7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (State) (| (Zip) | Г | able | e I Non-E | Derivati | ive So | ecurities Acquired, Dispo | Disposed of, or Beneficially Owned | | | | |
| 1. Title of Security (Instr. 3) | . Title of 2. Trans- 2A. Deemed ecurity action Execution | | 3. Trans action C (Instr. 8 Code | Code | 4. Securitie (A) or Disp (Instr. 3, 4 Amount | posed o | | Beneficially | r r | 7. Nature of Indirect Beneficial Ownership | | | |
| | Year) | (Month/Day/ Year) | coue | • | Tinount | or (D) | 1110 | ing Reported Transactions(s) (Instr. 3 & 4) | (Instr. 4) | (Instr. 4) | | | |
| Common Stock | | | | | | | | 17,341.274 | (1) D | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. puts calls warrants options convertible securities)

| (e.g., puts, cans, warrants, options, convertible securities) | | | | | | | | | | | | | |
|---|-------------------------|---|---|---|---|--|---|---|--|--|---|--|--|
| itle of | 2. Conver- | 3. Trans- | 3A. | 4. | 5. Number | 6. Date Exercisable | 7. Title and | 8. Price of | 9. Number of | 10. | 11. Nature | | |
| vative | sion or | action | Deemed | Trans- | of | and Expiration | Amount of | Derivative | Derivative | Owner- | of Indirect | | |
| ırity | Exercise | Date | Execution | action | Derivative | Date | Underlying | Security | Securities | ship | Beneficial | | |
| | Price of | | Date, | Code | Securities | (Month/Day/ | Securities | (Instr. 5) | Beneficially | Form | Ownership | | |
| r. 3) | Derivative | (Month/ | if any | | Acquired | Year) | (Instr. 3 & 4) | | Owned | of Deriv- | (Instr. 4) | | |
| | | 2 | (Month/ | (Instr. | (A) or | | | | Following | ative | | | |
| | | Year) | | 8) | Disposed | | | | Reported | Security: | | | |
| | | | Year) | | of (D) | | | | Transaction(s) | Direct | | | |
| 1 | vative rity r. 3) | vative sion or rity Exercise Price of r. 3) Derivative Security | tle of 2. Conver- 3. Trans- vative sion or action rity Exercise Date Price of r. 3) Derivative (Month/ Security Day/ | tle of 2. Conver- 3. Trans- 3A. vative sion or action Deemed rity Exercise Date Execution Price of Date, r. 3) Derivative (Month/ if any Security Day/ (Month/ | tle of 2. Conver- 3. Trans- 3A. 4. vative sion or action Deemed Trans- rity Exercise Date Execution action Price of Date, Code r. 3) Derivative (Month/ if any Security Day/ (Month/ Instr. Year) Day/ 8) | tle of 2. Conver- 3. Trans- 3A. 4. 5. Number vative sion or action Deemed Trans- of Date Execution action Derivative Date, Code Securities Acquired Security Day/ (Month/ If any Security Year) Day/ 8) Disposed | tle of vative rity2. Conver- action3. Trans- 3A.4.5. Number of and Expirationrity rityExercise DateDateTrans- actionof Derivativeand ExpirationPrice of r. 3)Derivative Derivative DatyMonth/ if any Day/ Year)Code Month/ (Instr.Securities (Month/ Year)Month/ Year) | tle of 2. Conver- 3. Trans- 3A. 4. 5. Number 6. Date Exercisable and Expiration 7. Title and Amount of and Expiration vative sion or action Deemed Trans- of action Deemed Trans- of action Dete and Expiration Amount of Underlying rity Exercise Date Execution action Derivative Date Underlying Price of r. 3) Derivative (Month/ if any Securities Code Securities (Month/Day/ Securities Securities Security Day/ (Month/ (Instr. (A) or Day/ Security Day/ Security) Day/ Security Securities Disposed | tle of vative sion or rity3. Trans- | tle of vative sion or rity3. Trans- action Date4.5. Number of action Derivative Date7. Title and Amount of Underlying Securities8. Price of Derivative Derivative Securities9. Number of Derivative Securities7. Title and Amount of Underlying Securities8. Price of Derivative Securities9. Number of Derivative Securities7. Title and Amount of Month/ If any Securities9. Number of Number of Acquired Year)9. Number of Derivative Securities7. Title and Amount of Month/ If any Year)9. Other of Perivative Year)9. Number of Derivative Securities7. Title and Month/ If any Year)9. Number of Perivative Pay8. Price of Perivative Price of Pay8. Price of Perivative Perivative Price of Perivative Pay8. Price of Pay9. Number of Perivative Pay9. Number of Perivative Perivative Perivative Perivative Perivative Perivative Perivative Perivative Pe | tle of vative sion or rity3. Trans- action Date3A.4.5. Number of and Derivative Date7. Title and Amount of Underlying Securities8. Price of 9. Number of Derivative Securities9. Number of Owner- Securities10.10. Derivative rityDate Date, Date, Price of (Month/ Date, Security5. Number of Derivative Code6. Date Exercisable and Expiration Date Month/Day/ Year)7. Title and Amount of Underlying Securities (Instr. 3 & 4)8. Price of Derivative Securities (Instr. 5)9. Number of Derivative Securities Securities Owner- Securities (Instr. 3 & 4)10.10. Derivative Security0. Derivative (Instr. 5)10. Derivative Securities Owner- Securities Owned of Deriv- Following Reported10. | | |

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| | | | | | | (Instr. 3 & 5) | 3,4 | | | | | | | (D) or Indirect | |
|------------------|---------|----------|----------|--------|---|-------------------|-----|--------------|-------------------------|-----------------|--|---------|--------------------------------|-----------------------|--|
| | | | | Code ' | V | (A) | | Exer-cisable | Expira- tion Date | | Amount or Number of Shares | | | (I) (Instr. 4) | |
| Phantom Stock | 1-for-1 | 03/31/03 | 04/07/03 | Α | | 181.73 | | (2) | _ | Common Stock | 181.73 | \$26.00 | 6,862.86 ⁽¹⁾ | D | |

Explanation of Responses:

(1) Includes dividends reinvested periodically under Issuer's Dividend Reinvestment Plan.

(2) Phantom Stock Units are to be settled 100% in Issuer's Common Stock upon reporting person's termination of service as a director.

By: /s/ Jane H. Adamy 04/09/03 Power of Attorney dated November 8, 2000 Date **Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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