#### Edgar Filing: NORDSTROM INC - Form 3

NORDSTROM INC

Form 3

November 26, 2013

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement NORDSTROM INC [JWN] MIDDLEMAS ROBERT J (Month/Day/Year) 11/20/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O NORDSTROM, (Check all applicable) INC., 1617 SIXTH AVENUE (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting **Executive Vice President** Person SEATTLE, WAÂ 98101 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 15,410 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 3. Title and Amount of 5. 6. Nature of Indirect 2. Date Exercisable and Securities Underlying (Instr. 4) **Expiration Date** Conversion Ownership Beneficial (Month/Day/Year) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security: Title Direct (D) Security

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	(1)	03/01/2017	Common Stock	9,772	\$ 53.63	D	Â
Employee Stock Option (right to buy)	(2)	02/28/2018	Common Stock	13,179	\$ 38.02	D	Â
Employee Stock Option (right to buy)	(3)	02/27/2019	Common Stock	20,000	\$ 13.47	D	Â
Employee Stock Option (right to buy)	(4)	02/26/2020	Common Stock	14,807	\$ 36.94	D	Â
Employee Stock Option (right to buy)	(5)	02/25/2021	Common Stock	15,006	\$ 45.49	D	Â
Employee Stock Option (right to buy)	(6)	02/22/2022	Common Stock	14,706	\$ 52.63	D	Â
Employee Stock Option (right to buy)	(7)	03/04/2023	Common Stock	16,418	\$ 53.82	D	Â
Stock Units	(8)	(8)	Common Stock	2,664.81	\$ <u>(9)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
• 0	Director	10% Owner	Officer	Other	
MIDDLEMAS ROBERT J C/O NORDSTROM, INC. 1617 SIXTH AVENUE SEATTLE, WA 98101	Â	Â	Executive Vice President	Â	

### **Signatures**

Paula McGee, Attorney-in-Fact for Robert J.
Middlemas

11/26/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested and became exercisable in four equal annual installments commencing 3/1/2008.
- (2) The option vested and became exercisable in four equal annual installments commencing 2/28/2009.
- (3) The option vested and became exercisable in four equal annual installments commencing 2/27/2010.
- (4) The option is exercisable in four equal annual installments commencing on 2/26/2011.
- (5) The option is exercisable in four equal annual installments commencing on 2/25/2012.
- (6) The option is exercisable in four equal annual installments commencing on 2/22/2013.

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- (7) The option is exercisable in four equal annual installments commencing on 3/4/2014.
- (8) The stock units are convertible into issuer's common stock and payable upon the occurrence of certain events, including the reporting person's retirement from the issuer.
- (9) Stock unit dividend paid on performance share units that were deferred at the election of the reporting person under the Executive Deferred Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.