Edgar Filing: STIFEL FINANCIAL CORP - Form 4

	IANCIAL CORP	,										
Form 4 December 1	1. 2013											
	Л									OMB A	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANCE Washington, D.C. 20549							NGE C	OMMISSION	OMB Number:	3235-0287		
Check th if no long	is box									Expires:	January 31, 2005	
subject to Section 16. Form 4 or				IGES IN SECU			CIA	LOWN	NERSHIP OF	Estimated average burden hours per response 0.		
Form 5 obligatio may com <i>See</i> Instr 1(b).	tinue. Section 170	(a) of the		tility Ho	oldi	ng Com	ipany	Act of	e Act of 1934, 1935 or Section 0			
(Print or Type]	Responses)											
KRUSZEWSKI RONALD J Symbo				r Name ar 2 FINAN					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	STIFEL FINANCIAL CORP [SF] (C 3. Date of Earliest Transaction						(Check	eck all applicable)		
				Day/Year)					X Director 10% Owner X Officer (give title Other (specify below) below) CHIEF EXECUTIVE OFFICER			
Filed(Mor				endment, Date Original nth/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
HUNTLEIC	GH, MO 63131								Person		F8	
(City)	(State)	(Zip)	Tab	le I - Non-	-De	rivative S	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	Security (Month/Day/Year) Execution Date, if			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or					5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6.7. Nature ofOwnershipIndirectForm: DirectBeneficial(D) orOwnershipIndirect (I)(Instr. 4)(Instr. 4)		
Common				Code V	Ζ.	Amount	(D)	Price	(Instr. 5 and 1)			
Stock	12/10/2013			М	2	25,762	А	\$0	1,021,537	D		
Common Stock	12/10/2013			F	1	12,353	D	\$ 45.64	1,009,184	D		
Common Stock									1,500	Ι	by Daughter	
Common Stock									3,000	I	by Son	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Ni	umber of	6. Date Exercisab	le and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securities		
Security	or Exercise		any	Code	Code Securities		(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acqu	uired (A)				
	Derivative				or D	isposed of				
	Security				(D)					
					(Instr. 3, 4,					
					and f	5)				
							Date Exercisable	Expiration Date	Title	Amount or Number
				Code V	(A)	(D)		Dute		of Shares
Phantom Stock Units	\$ 0	12/10/2013		М		25,762	02/17/2009(1)	(2)	Common Stock	25,762

Reporting Owners

Reporting Owner Name / Address					
		Director	10% Owner	Officer	Other
KRUSZEWSKI RONALD J 17 HUNTLEIGH WOODS J HUNTLEIGH, MO 63131		Х		CHIEF EXECUTIVE OFFICER	
Signatures					
RONALD J KRUSZEWSKI	12/1	1/2013			
<u>**</u> Signature of Reporting Person	D	ate			

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Currently exercisable.
- (2) No expiration date for these Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.