Edgar Filing: SLINEY DAVID D - Form 4

CLINEX DAVID

Form 4	ID D									
February 16, 2	4 UNITED	4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								
Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b).	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							burden hou response	Estimated average burden hours per response 0.5	
(Print or Type Re	sponses)									
1. Name and Address of Reporting Person <u>*</u> SLINEY DAVID D			2. Issuer Name and Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)		Earliest Tra		Ar [SF]	(Cheo	ck all applicable	e)	
49 LOG CABIN DRIVE			(Month/Day/Year) 02/14/2012				Director 10% Owner X Officer (give title Other (specify below) below) below) Dir Strategic Planning & Tech			
				idment, Dat h/Day/Year)	-		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
ST. LOUIS, N	MO 63124							More than One Re		
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	ecurities A	equired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year) Execution any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock							71,987	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	\$ 0	02/14/2012		А	6,489	<u>(1)</u>	(2)	Common Stock	6,489
Phantom Stock Units	\$ 0	02/14/2012		А	1,622	(3)	(2)	Common Stock	1,622
Phantom Stock Units	\$ 0	02/14/2012		А	20,492	<u>(4)</u>	(2)	Common Stock	20,492
Stock Option (Option to Buy)	\$ 5.8					(5)	02/10/2013	Common Stock	20,999

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SLINEY DAVID D 49 LOG CABIN DRIVE ST. LOUIS, MO 63124			Dir Strategic Planning & Tech				
Signatures							

DAVID D

 SLINEY
 02/16/2012

 **Signature of Reporting Person
 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units vest in 20% increments over a five year period.
- (2) No expiration date for these Units.
- (3) Units vest 100% five years from the grant date.
- (4) Units vest in equal yearly increments over a ten year period. This vesting may be accelerated based on the Company's performance.

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(5) Options vest in 20% increments on 2/10/04, 2/10/05, 2/10/06, 2/10/07 and 2/10/08.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.