THOMAS MARVIN JR

Form 4

January 06, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287 Number:

OMB APPROVAL

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

response...

5. Relationship of Reporting Person(s) to

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

THOMAS N	MARVIN JR		Symbol STAGE	STORES	INC IS	TGS	1	Issuer		
					_	105	J	(Chec	k all applicable)
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction					
			(Month/D	ay/Year)				Director		Owner
10201 S. M.	AIN STREET		01/04/20	005				_X_ Officer (give below)	below)	r (specify
								SVP, P	eebles Operatio	ns
	(Street)		4. If Ame	ndment, Da	te Origina	1		6. Individual or Jo	oint/Group Filin	g(Check
			Filed(Mor	th/Day/Year)			Applicable Line) _X_ Form filed by 0	One Reporting Pe	rson
HOUSTON	, TX 77025							Form filed by N Person	More than One Re	porting
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security	2. Transaction Da (Month/Day/Yea		emed on Date, if	3. Transactio	4. Securi		•	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(1/10/10/11/12/11)/ 1 0 11	any	011 2 410, 11	Code	(Instr. 3,		` ′	Beneficially	(D) or	Beneficial
(,		-	/Day/Year)	(Instr. 8)	(,		- /	Owned	Indirect (I)	Ownership
		`	• •	· ·				Following	(Instr. 4)	(Instr. 4)
						(A)		Reported		
						or		Transaction(s)		
				Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	01/04/2005			M	1,875	A	\$ 30.13	1,875	D	
Common Stock	01/04/2005			S	1,875 (1)	D	\$ 40.11	0	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 30.13	01/04/2005		M		1,875	11/04/2003	11/04/2013	Common Stock	1,875

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Reporting Owners

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

THOMAS MARVIN JR 10201 S. MAIN STREET HOUSTON, TX 77025

SVP, Peebles Operations

Signatures

/s/ Marvin Thomas, Jr. 01/06/2005

**Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was made pursuant to a pre-established, written plan for trading securities pursuant to Rule 10b5-1 adopted by the Securities Exchange Act of 1934.
- On November 4, 2003, the reporting person was granted stock options for 15,000 shares with per share exercise price of \$30.13. The right to exercise these options vests over four years from November 4, 2003, with 25% vesting at the end of each of the first four years following the date of grant, and will expire on the earlier of (i) sixty days after the termination of the reporting person's employment with the Company, or (ii) ten years from the date of grant. The stock options exercised and reported on this Form 4 are a portion of that grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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