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ENTERGY CORP /DE/
Form U-9C-3
November 26, 2002
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT PURSUANT TO RULE 58

For the Quarterly Period Ended September 30, 2002

ENTERGY CORPORATION
(a Delaware corporation)
639 Loyola Avenue
New Orleans, Louisiana 70113
Telephone (504) 576-4000

(Name of registered holding company and address of principal executive offices)

ITEM 1 - ORGANIZATION CHART

Name of reporting company	Energy or gas related company	Date of organization	State of organization	Percentage of voting securities held	Nature of business
Entergy Holdings, Inc. (EHI)	Energy related business	November 3, 1997	Delaware	a 100% owned subsidiary of Entergy Corporation	Holding company investing in miscellaneous energy related companies.
Entergy Thermal, LLC (Entergy	Energy related business	January 28, 1999	Delaware	a 100% owned subsidiary of EHI	Production, sale, and distribution of thermal

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Thermal)					energy products.
Entergy Business Solutions, LLC (EBS)	Energy related business	January 28, 1999	Delaware	a 100% owned subsidiary of EHI	Energy management services.
Entergy Power Holdings USA Corporation (EPH)	Energy related business	February 25, 1999	Delaware	a 100% owned subsidiary of Entergy Corporation	Holding company investing in qualifying facilities.
Entergy Power RS, LLC (EPRS)	Energy related business	February 25, 1999	Delaware	a 100% owned subsidiary of EPH	Development and ownership of qualifying facilities.
TLG Services, Inc. (TLG)	Energy related business	December 30, 1986	Connecticut	a 100% owned subsidiary of Entergy Nuclear, Inc.	Provide field services for the decommissioning of fossil and nuclear plants.
Entergy Solutions Ltd. (ESLTD)	Energy related business	August 30, 2000	Texas	a 99% limited partner interest held by Entergy Retail Holding Company and a 1% general partner interest held by Entergy Retail Texas, Inc.	Provide competitive energy service and electric sales.

ITEM 1 - ORGANIZATION CHART - Continued

Name of reporting company	Energy or gas related company	Date of organization	State of organization	Percentage of voting securities held	Nature of business
Entergy-Koch Trading, LP (EKTLP)	Energy related business	January 25, 2001	Delaware	a 49.5% limited partner interest	Energy marketing and brokering.

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				and a 0.5% general partner interest, in each case indirectly held by Entergy Power Internation al Holding Corp.	
EWO GP LLC (EWOGP)	Energy related business	October 30, 2000	Delaware	a 100% owned subsidiary of EWO Marketing Holding, LLC	Holding company investing in energy commodity brokering and related services.
EWO Marketing, L.P. (EWOM)	Energy related business	October 30, 2000	Delaware	a 99% limited partner interest held by EWO Marketing Holding, LLC and a 1% general partner interest held by EWO GP LLC	Energy marketing and brokering.
Entergy Solutions Select Ltd. (ESOLS)	Energy related business	March 2, 2001	Texas	a 99% limited partner interest held by Entergy PTB Holding Company and a 1% general partner interest held by Entergy Select LLC	Price-to- Beat retail electric provider.

ITEM 1 - ORGANIZATION CHART - Continued

Name of reporting company	Energy or gas related company	Date of organization	State of organization	Percentage of voting securities held	Nature of business
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Entergy Solutions Essentials Ltd. (ESELE)	Energy related business	June 4, 2001	Texas	a 99% limited partner interest held by Entergy PTB Holding Company and a 1% general partner interest held by Entergy Select LLC	Provider of Last Resort retail electric provider.
Entergy Thermal-UNO, LLC (Entergy Thermal-UNO)	Energy related business	July 16, 2001	Delaware	a 100% owned subsidiary of Entergy Corporation	Production, sale, and distribution of thermal energy products.
Entergy Nuclear PFS, Inc. (ENPFS)	Energy related business	February 15, 2002	Delaware	a 100% owned subsidiary of Entergy Nuclear, Inc.	Holding company for investment in Private Fuel Storage, LLC.
Private Fuel Storage LLC (Private Fuel Storage)	Energy related business	February 21, 2002	Delaware	a 9.9% Class A member interest held by ENPFS	Development, ownership, and operation of a spent nuclear fuel storage facility.
Tradespark	Energy related business	-	Delaware	Non-voting securities only	Electronic commodity marketplace for North America wholesale transactions in energy-related physical and financial products.
Entergy Solutions Supply Ltd. (ESSLT) (new)	Energy related business	August 30, 2000	Texas	a 99% limited partner interest held be	Provider of wholesale energy and energy supply

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Entergy acquisition
Retail services to
Holding retail
Company and electric
a 1% providers.
general
partner
interest
held by
Entergy
Retail
Texas, Inc.

EHI Activities

During the quarterly period ended September 30, 2002, EHI acted as a holding company engaged in investment in energy related companies consistent with Rule 58.

Entergy Thermal Activities

During the quarterly period ended September 30, 2002, Entergy Thermal operated a district cooling and heating system in New Orleans, Louisiana.

EBS Activities

During the quarterly period ended September 30, 2002, EBS was inactive, but in the future intends to engage in energy management services.

EPH Activities

During the quarterly period ended September 30, 2002, EPH acted as a holding company with respect to Entergy's investments in "qualifying facilities" (QFs) under the Public Utility Regulatory Policies Act of 1978 (PURPA).

EPRS Activities

During the quarterly period ended September 30, 2002, EPRS engaged in the development, acquisition and ownership of a 50% interest in RS Cogen LLC. RS Cogen LLC is developing, and will own and operate, a 425 MW cogeneration facility in Louisiana which is a QF under PURPA.

TLG Activities

During the quarterly period ended September 30, 2002, TLG provided cost estimates and field services for the decommissioning of fossil and nuclear plants as well as technical expertise related to environmental testing and remediation.

ESLTD Activities

During the quarterly period ended September 30, 2002, ESLTD engaged in the purchasing of power on the ERCOT imbalance market for its Texas customers.

EKTLP Activities

During the quarterly period ended September 30, 2002, EKTLP engaged in the business of brokering and marketing of energy commodities, including but not limited to electricity, natural or manufactured gas, and other combustible fuels. These services were provided to electric utilities, power marketers, electric and municipal cooperatives, and various other customers.

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EWOGP Activities

During the quarterly period ended September 30, 2002, EWOGP acted as a holding company for investing in energy commodity brokering and related services.

EWOM Activities

During the quarterly period ended September 30, 2002, EWOM engaged in the business of managing the power produced by electric generation assets of affiliates and third-party customers as well as fulfilling the electric energy needs of third-party customers under long-term contracts. The third-party customers are principally electric utilities and municipal cooperatives.

ESOLS Activities

During the quarterly period ended September 30, 2002, ESOLS was in its formative stage and in the future intends to engage in providing price-to-beat energy service and electric sales.

ESELE Activities

During the quarterly period ended September 30, 2002, ESELE was in its formative stage and in the future intends to engage in providing energy service and electric sales as the provider of last resort.

Entergy Thermal-UNO Activities

During the quarterly period ended September 30, 2002, Entergy Thermal-UNO was in its formative state and in the future intends to engage in the production, sale, and distribution of thermal energy products at the University of New Orleans.

ENPFS Activities

During the quarterly period ended September 30, 2002, ENPFS acted as a holding company for Entergy's 12.9% member interest in Private Fuel Storage (including a 9.9% Class A member interest with voting rights).

Private Fuel Storage Activities

During the quarterly period ended September 30, 2002, Private Fuel Storage continued efforts relating to obtaining a Nuclear Regulatory Commission license for a proposed spent nuclear fuel storage facility.

Tradespark Activities

During the quarterly period ended September 30, 2002, Tradespark operated as an electronic commodity marketplace for North America wholesale transactions in energy-related physical and financial products.

ESSLT Activities

During the quarterly period ended September 30, 2002, ESSLT provided energy supply acquisition services to retail electric providers.

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

Company issuing security	Type of security issued	Principal amount of security	Issue or renewal	Cost of capital	Person to whom security was	Collateral given with security	Consideration received for each
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					issued		security
None	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Company contributing capital		Company receiving capital				Amount of capital contribution	
Entergy Retail Holding Company		ESLTD				\$2,227,000	
Entergy Retail Holding Company		ESSLT				\$2,920,500	
Entergy Retail Texas, Inc.		ESLTD				\$ 23,000	
Entergy Retail Texas, Inc.		ESSLT				\$ 29,500	
Entergy PTB Holding Company		ESOLS				\$2,426,000	
Entergy Select LLC		ESOLS				\$ 24,500	
Entergy Nuclear, Inc.		TLG				\$1,000,000	
	ENPFS		Private Fuel Storage			\$ 40,527	

ITEM 3 - ASSOCIATE TRANSACTIONS

Part I - Transactions Performed by Reporting Companies on Behalf of Associate Companies

Reporting company rendering services	Associate company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
ESSLT	ESLTD	Energy supply acquisition services	\$64,765	\$-0-	N/A	\$64,765
ESSLT	ESOLS	Same as above	\$1,092,878	\$-0-	N/A	\$1,092,878

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies

Associate company rendering services	Reporting company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
Entergy Enterprises, Inc. (EEI)	EHI	Professional services and back office support	\$61,150	\$-0-	N/A	\$61,150
EEI	Entergy Thermal	Same as above	\$241,487	\$-0-	N/A	\$241,487

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EEI	EBS	Same as above	\$169,714	\$-0-	N/A	\$169,714
EEI	ESOLS	Same as above	\$1,150,027	\$-0-	N/A	\$1,150,027
EEI	ESELE	Same as above	\$ 6,667	\$-0-	N/A	\$6,667
EEI	ESLTD	Same as above	\$842,140	\$-0-	N/A	\$842,140
EEI	EPRS	Same as above	\$537,801	\$-0-	N/A	\$537,801
EEI	EWOM	Same as above	\$950,952	\$-0-	N/A	\$950,952
EEI	TLG	Same as above	\$65,372	\$-0-	N/A	\$65,372
EEI	ESSLT	Same as above	\$ 314	\$-0-	N/A	\$ 314
Entergy Solutions Management Services LLC	ESLTD	Same as above	\$404,962	\$-0-	N/A	\$404,962

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies - Continued

Associate company rendering services	Reporting company receiving services	Types of services rendered	Direct costs charged	Indirect costs charged	Cost of capital	Total amount billed
Entergy Solutions Management Services LLC	ESOLS	Same as above	\$172,548	\$-0-	N/A	\$172,548
Entergy Retail Texas, Inc.	ESOLS	Same as above	\$(1,390)	\$-0-	N/A	\$(1,390)
Entergy Nuclear Operations, Inc.	TLG	Same as above	\$224,752	\$-0-	N/A	\$224,752
Entergy Nuclear, Inc.	TLG	Same as above	\$156,856	\$-0-	N/A	\$156,856
Entergy Power Operations U.S., Inc.	EPRS	Same as above	\$ 16	\$-0-	N/A	\$ 16

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Entergy-Koch LP	EWOM	Same as above	\$925,000	\$-0-	N/A	\$925,000
Entergy-Koch LP	EKTLP	Same as above	\$741,000	\$-0-	N/A	\$741,000

ITEM 4 - SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

Total consolidated capitalization as of September 30, 2002	\$16,962,200,000	Line 1
Total capitalization multiplied by 15% (line 1 multiplied by 0.15)	2,544,330,000	Line 2
Greater of \$50 million or line 2	2,544,330,000	Line 3

Total current aggregate investment:

Energy marketing and brokering (ESLTD, EKTLP, EWO, EWOM, ESOLS, ESSLT, Tradespark, and ESELE)	263,515,565	
Energy related technical and similar services (EHI, Entergy Thermal, Entergy Thermal - UNO, EBS, and TLG)	59,872,122	
Development and ownership of QFs (EPH and EPRS)	30,207,000	
Ownership, operation, and servicing of fuel procurement, transportation, handling, and storage facilities (ENPFS and Private Fuel Storage)	740,366	
Total current aggregate investment*	354,335,053	Line 4

Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4)	\$2,189,994,947	Line 5
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ITEM 5 - OTHER INVESTMENTS

Major line of energy-related business	Other investment in last U-9C-3 report	Other investment in this U-9C-3 report	Reason for difference in other investment
N/A	-0-	-0-	N/A

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

A. Financial Statements

Filed under confidential treatment pursuant to Rule 104(b).

B. Exhibits

- Certificate of filing of Form U-9C-3 for the 2nd Quarter of 2002 with interested state commissions and municipal regulator.

SIGNATURE

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Pursuant to the requirements of the Public Utility Holding Company Act of 1935, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Entergy Corporation

By: /s/ Nathan E. Langston
Nathan E. Langston
Senior Vice President and
Chief Accounting Officer

Dated: November 25, 2002