Edgar Filing: LILLY ELI & CO - Form 4

LILLY ELI	& CO											
Form 4												
October 04, 2	2016											
FORM	14								OMB AF	PROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287			
Check thi	or								Expires:	January 31		
if no longer subject to STATEMENT OF CHANG					SES IN BENEFICIAL OWNERSH				Estimated a	2005 average		
Section 1	6.	SECURITIES							burden hours per			
Form 4 or									response 0.5			
Form 5 obligation	• •						•	e Act of 1934,				
may cont				•	•	· ·		1935 or Sectior	1			
See Instru	iction	30(h)	of the In	vestment	Compan	y Ac	t of 194	0				
1(b).												
(Print or Type R	Responses)											
(i iiii oi i jpe i	(espenses)											
1. Name and A	ddress of Reportin	ng Person *	2. Issuer	Name and	Ticker or	Tradi	ng	5. Relationship of	Reporting Pers	on(s) to		
MARRAM ELLEN R Symbol								Issuer				
				ELI & CO [LLY]								
				f Earliest Transaction				(Check all applicable)				
				Day/Year)			_X_ Director10% Owner					
LILLY CORPORATE CENTER 09/30/20				-				Officer (give titleOther (specify				
								below) below)				
			ndment, Date Original				6. Individual or Joint/Group Filing(Check					
			nth/Day/Year)				Applicable Line)					
INDIANAPOLIS, IN 46285								_X_ Form filed by One Reporting Person Form filed by More than One Reporting				
INDIANAP	OL15,IN 4028	55						Person				
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction D	Date 2A. Deer	ned	3. 4. Securities Acquired				5. Amount of 6. Ownership 7. Nature of				
Security	(Month/Day/Yea	n Date, if Transaction(A) or Disposed of (D)					Securities	Form: Direct				
(Instr. 3)		any		Code (Instr. 3, 4 and 5) $(I_{12} t_{12} t_{13} t_$				•	(D) or Indirect (I)	Beneficial		
		(Month/I	Day/Year) (Instr. 8)					Owned Following	Ownership (Instr. 4)			
								Reported	(Instr. 4)	(1150. 1)		
						(A) or		Transaction(s)				
				Code V	Amount		Price	(Instr. 3 and 4)				
Common	00/20/2016			٨	02	٨	\$	17 512	D			
Stock	09/30/2016			А	83	А	79.16	47,543	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	ionNumber Expiration of (Month/D			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code V		,	Expiration Date	or N of	r Jumber		

Reporting Owners

Reporting Owner Name / Address			-					
	Director	10% Owner	Officer	Other				
MARRAM ELLEN R LILLY CORPORATE CENTER INDIANAPOLIS, IN 46285	Х							
Signatures								
Tiffany R. Benjamin for Ellen R. on file	10/04/2016							
<u>**</u> Signature of Reporting		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.