

HASBRO INC
Form 3
March 13, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Courtney Thomas J Jr.

(Last) (First) (Middle)

C/O HASBRO, INC.,Â 1011
NEWPORT AVENUE

(Street)

PAWTUCKET,Â RIÂ 02861

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

03/02/2017

3. Issuer Name and Ticker or Trading Symbol
HASBRO INC [HAS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
EVP, Global Operations

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock (Par Value \$.50 per share)

29,417.87 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy) ⁽²⁾	Â ⁽³⁾	02/12/2021	Common Stock	12,225	\$ 52.11	D	Â
Stock Option (Right to Buy) ⁽²⁾	Â ⁽⁴⁾	02/11/2022	Common Stock	12,189	\$ 61.77	D	Â
Stock Option (Right to Buy) ⁽²⁾	Â ⁽⁵⁾	02/11/2023	Common Stock	9,186	\$ 74.42	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Courtney Thomas J Jr. C/O HASBRO, INC. 1011 NEWPORT AVENUE PAWTUCKET, RI 02861	Â	Â	Â EVP, Global Operations	Â

Signatures

Tarrant Sibley, P/O/A for Thomas J.
Courtney, Jr. 03/13/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Amount of Securities Beneficially Owned include 4,056 shares of unvested restricted stock units.
- (2) These options were granted pursuant to an employee stock option plan in accordance with Rule 16b-3 and have tandem tax withholding rights.
- (3) 4,075 of the options became exercisable each on February 12, 2015, February 12, 2016 and February 12, 2017.
- (4) 4,063 of the options became exercisable each on February 11, 2016 and February 11, 2017. 4,063 of the options will become exercisable on February 11, 2018.
- (5) 3,062 of the options became exercisable on February 23, 2017 and 3,062 of the options will become exercisable on each February 23, 2018 and February 23, 2019 subject to the optionee's continued employment through those dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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