CNA FINANCIAL CORP

Form 4

March 17, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

3235-0287 Number:

January 31, Expires: 2005

0.5

**OMB APPROVAL** 

Estimated average burden hours per response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * KANTOR JONATHAN D |                                    |  | 2. Issuer Name and Ticker or Trading Symbol CNA FINANCIAL CORP [CNA]                                    |             |                   |        |   | 5. Relationship of Reporting Person(s) to Issuer   |                 |          |  |
|---|------------------------------------|--|---|-------------|-------------------|--------|---|--|-----------------|----------|--|
| (Last) 333 S. WA  | (First) BASH AVE.                  | (Middle)   | 3. Date of Earliest Transaction (Month/Day/Year) 03/15/2016   |             |                   |        |   | (Check all applicable)  Director 10% Owner Officer (give title Other (specify below)  EVP, Gen. Counsel & Sec. |                 |          |  |
| CHICAGO   |                                    | 4. If Amendment, Date Original Filed(Month/Day/Year) |   |             |                   |        | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  |  |                 |          |  |
| (City)  | (State)                            | (Zip)  | Tabl  | e I - Non-I | <b>Derivative</b> | Securi | ities Acq   | uired, Disposed of   | , or Beneficial | ly Owned |  |
| 1.Title of<br>Security<br>(Instr. 3)                        | 2. Transaction D<br>(Month/Day/Yea | med<br>on Date, if<br>Day/Year)                      | n Date, if Transaction(A) or Disposed of (D<br>Code (Instr. 3, 4 and 5)<br>Pay/Year) (Instr. 8)  (A) or |             |                   |        | Beneficially Form: Direct Beneficia Owned (D) or Ownershi Following Indirect (I) (Instr. 4) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4) |  |                 |          |  |
| Common Stock (1)  | 03/15/2016                         |  |   | Code V A    | Amount 19,588     | (D)    | Price \$ 31.21  | 19,588   | D               |          |  |
| Common  | 03/15/2016                         |  |   | F(2)        | 10,101            | D      | \$<br>31.21   | 9,487  | D               |          |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

31.21

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title o | of 2.         | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Titl | le and       | 8. Price of | 9. Nu  |
|------------|---------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|--------------|-------------|--------|
| Derivativ  | ve Conversion | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration D  | ate         | Amou    | ınt of       | Derivative  | Deriv  |
| Security   | or Exercise   |                     | any                | Code        | of         | (Month/Day/   | Year)       | Under   | rlying       | Security    | Secui  |
| (Instr. 3) | Price of      |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Secur   | rities       | (Instr. 5)  | Bene   |
|            | Derivative    |                     |                    |             | Securities |               |             | (Instr. | . 3 and 4)   |             | Owne   |
|            | Security      |                     |                    |             | Acquired   |               |             |         |              |             | Follo  |
|            | •             |                     |                    |             | (A) or     |               |             |         |              |             | Repo   |
|            |               |                     |                    |             | Disposed   |               |             |         |              |             | Trans  |
|            |               |                     |                    |             | of (D)     |               |             |         |              |             | (Instr |
|            |               |                     |                    |             | (Instr. 3, |               |             |         |              |             |        |
|            |               |                     |                    |             | 4, and 5)  |               |             |         |              |             |        |
|            |               |                     |                    |             |            |               |             |         | A            |             |        |
|            |               |                     |                    |             |            |               |             |         | Amount       |             |        |
|            |               |                     |                    |             |            | Date          | Expiration  | T:41-   | or<br>Namel  |             |        |
|            |               |                     |                    |             |            |               | Date        | Title   | Number       |             |        |
|            |               |                     |                    | C-1- V      | (A) (D)    |               |             |         | of<br>Shares |             |        |
|            |               |                     |                    | Code V      | (A) (I))   |               |             |         | Snares       |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Other Director 10% Owner Officer

KANTOR JONATHAN D 333 S. WABASH AVE. CHICAGO, IL 60604

EVP, Gen. Counsel & Sec.

### **Signatures**

/s/ Stathy Darcy by Power of Attorney for Jonathan D. Kantor

03/17/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The Company's Incentive Compensation Plan (as amended as of January 1, 2010) provides for the issuance of CNA Financial
- (1) Corporation's common stock under the Long-Term Incentive Plan based upon achievement of predetermined financial goals over a three year period. For 2013-2015 performance period, Mr. Kantor was awarded 19,588 shares of CNA Financial Corporation's common stock.
- The Company's Incentive Compensation Plan (as amended as of January 1, 2010) permits the withholding of shares of common stock to satisfy tax withholding obligations of the participants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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