### Edgar Filing: CATERPILLAR INC - Form 8-K

#### **CATERPILLAR INC**

Form 8-K

December 14, 2018

**UNITED STATES** SECURITIES AND EXCHANGE **COMMISSION** Washington, D.C. 20549 FORM 8-K

Current Report

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 11, 2018

### CATERPILLAR INC.

(Exact name of registrant as specified in its charter)

Delaware

(State or other jurisdiction of incorporation)

1-768

(Compro6020144

File(IRS Employer Identification No.)

Number)

510

Lake

Cook

Road,

Suite

100 60015 Deerfield (Zip Code) Illinois

(Address

of

principal

executive

offices)

Registrant's telephone number, including area code: (224) 551-4000

Former name or former address, if changed since last report: N/A

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant o to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to o Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement
communications pursuant to Rule
13e-4(c) under the Exchange Act
(17 CFR 240.13e-4(c))
Indicate by check mark whether the
registrant is an emerging growth
company as defined by Rule 405 of
the Securities Act of 1933 (§230.405
of this chapter) or Rule 12b-2 of the
Securities Exchange Act of 1934
(§240.12b-2 of this chapter).

Emerging Growth Company o
If an emerging growth company,
indicate by check mark if the
registrant has elected not to use the
extended transition period for
complying with any new or revised
financial accounting standards
provided pursuant to Section 13(a) of
the Exchange Act. o

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Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(b) On December 11, 2018, Jananne A. Copeland, Chief Accounting Officer of Caterpillar Inc. (the "Company"), informed the Company of her intention to retire effective March 1, 2019.

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### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### CATERPILLAR INC.

December 14, 2018 By:/s/ Suzette M. Long
Suzette M. Long
General Counsel & Corporate Secretary