Edgar Filing: Dizon Romeo R - Form 4

Dizon Romeo	o R										
Form 4											
December 13	, 2018										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									9PROVAL 3235-0287		
Check this box if no longer subject to Section 16. SECURITIES Expires: Expires: Estimated burden ho							Expires: Estimated a burden hou response	ours per			
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Dizon Romeo R			2. Issuer Name and Ticker or Trading Symbol IRIDEX CORP [IRIX]				g	5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(Last) 1212 TERRA	3. Date of Earliest Transaction (Month/Day/Year) 01/09/2018					Director 10% Owner Officer (give title XOther (specify below) Principal Accounting Officer					
(Street) 4. If Amen Filed(Mont				ndment, Date Original h/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
MOUNTAIN	N VIEW, CA	94043						Form filed by I Person			
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Executi any	A. Deemed 3. 4. Securities Execution Date, if TransactionAcquired (A ny Code Disposed of Month/Day/Year) (Instr. 8) (Instr. 3, 4 ar (A o		ties l (A) c l of (D	or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-		
Common Stock	01/09/2018			F	4,383 (1)	D	<u>(1)</u>	28,100 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. 6. Date Exercisal onNumber Expiration Date of (Month/Day/Yea Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Dizon Romeo R 1212 TERRA BELLA AVENUE MOUNTAIN VIEW, CA 94043				Principal Accounting Officer			
Signatures							
/s/ Nilo De Castro, Attorney-in-Fa Dizon	12/13/2018						
<u>**</u> Signature of Reporting Pers	on		I	Date			
Evalenation of Deal							

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects shares withheld through January 9, 2018 in exempt dispositions to the Issuer under Rule 16b-3(e), to satisfy the Issuer's income tax withholding and remittance obligations in connection with the net settlement of performance-based restricted stock units and does not

- (1) and withinfolding and reinitable obligations in connection with the net settlement of performance based restricted stock units and does not represent sales by the Reporting Person. Determination of the number of shares withheld was based upon the closing prices of the Issuer's Common Stock on the dates withheld.
- (2) The number of securities beneficially owned is as of December 13, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.