

PROVIDENT FINANCIAL SERVICES INC
Form 4
August 30, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
MARTIN CHRISTOPHER P

2. Issuer Name and Ticker or Trading Symbol
PROVIDENT FINANCIAL SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
239 WASHINGTON STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/29/2016

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, President and CEO

JERSEY CITY, NJ 07302

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/29/2016		M		27,267	A	\$ 17.94
Common Stock	08/29/2016		S		790	D	\$ 21.33
Common Stock	08/29/2016		S		3,449	D	\$ 21.32
Common Stock	08/29/2016		S		9,591	D	\$ 21.31
Common Stock	08/29/2016		S		12,237	D	\$ 21.3

Edgar Filing: PROVIDENT FINANCIAL SERVICES INC - Form 4

Common Stock	08/29/2016	S	1,200	D	\$ 21.29	317,923	D	
Common Stock						137,738 ⁽¹⁾	I	By 401(k) Plan
Common Stock						12,979 ⁽¹⁾	I	By ESOP
Common Stock						17,785	I	By Deferred Fee Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Options	\$ 17.94	08/29/2016		M	27,267	01/29/2008 01/29/2017	Common Stock 27,267
Stock Options	\$ 18.34					02/19/2016 02/19/2025	Common Stock 65,972
Stock Options	\$ 12.54					01/29/2009 01/29/2018	Common Stock 13,020
Stock Options	\$ 16.38					02/19/2015 02/19/2024	Common Stock 35,000
Stock Options	\$ 14.88					02/03/2013 02/03/2022	Common Stock 25,000
Stock Options	\$ 10.4					02/03/2010 02/03/2019	Common Stock 16,600
Stock Options	\$ 10.34					03/04/2013 03/04/2023	Common Stock 64,433
Stock	\$ 16.38					03/04/2016 02/19/2024	Common 45,762

Options					Stock	
Stock Options	\$ 10.4		02/03/2012	02/03/2019	Common Stock	3,718
Stock Options	\$ 18.7		02/24/2017	02/24/2026	Common Stock	76,327
Stock Options	\$ 19.1		03/04/2014	03/04/2024	Common Stock	14,622
Stock Options	\$ 15.23		02/19/2014	02/19/2023	Common Stock	35,000
Stock Options	\$ 14.5		02/24/2012	02/24/2021	Common Stock	50,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302	X		Chairman, President and CEO	

Signatures

/s/ Leonard G. Gleason, Pursuant to Power of Attorney 08/30/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Stock options have fully vested.
- (3) Stock options vest at a rate of 33.3% per year.
- (4) Stock options vest at a rate of 20% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.