

Hagerman Philip R
Form 4
October 03, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Hagerman Philip R

(Last) (First) (Middle)

4100 S. SAGINAW STREET

(Street)

FLINT, MI 48507

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Diplomat Pharmacy, Inc. [DPLO]

3. Date of Earliest Transaction
(Month/Day/Year)
10/01/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/01/2018		A	5,222 (1)	A \$ 0 5,222	D	
Common Stock					1,330,099	I	Philip R. Hagerman Revocable Trust (2)
Common Stock					3,391,609	I	2007 Hagerman Family GST (3)
Common Stock					3,600,091	I	The JH GST Trust (2)

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Common Stock	1,475,876	I	2013 Irrev. Exempt Trust for Daughter <u>(3)</u>
Common Stock	1,475,876	I	2013 Irrev. Exempt Trust for Son <u>(3)</u>
Common Stock	1,075,293	I	2013 Irrev. Exempt Trust for Daughter <u>(2)</u>
Common Stock	1,311,292	I	2013 Irrev. Exempt Trust for Daughter <u>(2)</u>
Common Stock	313,337	I	2014 Irrev. Exempt Trust for Son <u>(3)</u>
Common Stock	313,337	I	2014 Irrev. Exempt Trust for Daughter <u>(3)</u>
Common Stock	313,337	I	2014 Irrev. Exempt Trust for Daughter <u>(2)</u>
Common Stock	313,337	I	2014 Irrev. Exempt Trust for Daughter <u>(2)</u>
Common Stock	417,528	I	Jocelyn Hagerman Living Trust <u>(3)</u>
Common Stock	263,500	I	JH Marital Trust <u>(2)</u>
Common Stock	272,000	I	PH Marital Trust <u>(3)</u>
Common Stock	394,910	I	Irrevocable Trust for Son
Common Stock	394,910	I	Irrevocable Trust for Daughter
	367,256	I	

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Common
Stock

Irrevocable
Non-Exempt
Trust for Son

Common
Stock

367,256 I

Irrevocable
Non-Exempt
Trust for
Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
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Date
Exercisable
Expiration
Date
Title
Amount
or
Number
of
Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hagerman Philip R 4100 S. SAGINAW STREET FLINT, MI 48507	X	X		

Signatures

/s/ Christina Flint, by Power of
Attorney 10/03/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares of restricted stock were granted in connection with the Issuer's revised non-employee director compensation program, effective October 1, 2018.
- (2) These shares are indirectly owned by Mr. Hagerman as the trustee of the Philip R. Hagerman Revocable Trust, The JH GST Trust, the 2013 Irrevocable Exempt Trusts and 2014 Irrevocable Exempt Trusts for two daughters, and the JH Marital Trust.
Jocelyn Hagerman is Mr. Hagerman's wife. These shares are indirectly owned by her as a trustee of the 2007 Hagerman Family GST
- (3) Trust, the 2013 Irrevocable Exempt Trusts and 2014 Irrevocable Exempt Trusts for a son and daughter, the Jocelyn Hagerman Living Trust, and the PH Marital Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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