#### HOPE BANCORP INC

Form 4

August 02, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB 3235-0287

Number:

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction 1(b).

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* **DIDION STEVEN J** 

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

HOPE BANCORP INC [HOPE]

3. Date of Earliest Transaction

(Check all applicable)

3327 FREEMAN RD

(Month/Day/Year)

07/29/2016

\_X\_\_ Director 10% Owner Other (specify Officer (give title below)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

WALNUT CREEK, CA 94595

(City)	(State) (	Zip) Table	e I - Non-D	erivative S	Securi	ties Ac	quired, Disposed	l of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securition(A) or Discording (D) (Instr. 3, 4)	sposed	l of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/29/2016		A	49,238	A	(1)	49,238	I	JCSD PARTNERS, LP.
Common Stock (Restricted Stock)	07/29/2016		A	1,309	A	(2)	1,309	D	
Common Stock	07/29/2016		A	426	A	<u>(4)</u>	426	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right To Buy)	\$ 15.23	07/29/2016		A	28,136	08/01/2016	12/29/2023	Common Stock	28,136

# **Reporting Owners**

	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

DIDION STEVEN J 3327 FREEMAN RD X WALNUT CREEK, CA 94595

### **Signatures**

STEVEN 08/02/2016

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Received in exchange for 70,000 shares of Wilshire Bancorp, Inc. ("Wilshire") common stock in connection with merger of Wilshire (1) Bancorp, Inc. into HOPE Bancorp, Inc. (former BBCN Bancorp, Inc.)(the "Merger"). On the effective date of the Merger, the closing price of Wilshire's common stock was \$10.74 per share, and the closing price of HOPE Bancorp, Inc. was \$15.37 per share.
- (2) Received in exchange for 1,861 shares of Wilshire common stock (Restricted Stock) in connection with the Merger
- (3) Received in the Merger in exchange for a stock option to acquire 40,000 shares of Wilshire common stock
- (4) Received in exchange for 607 shares of Wilshire Bancorp, Inc. ("Wilshire") common stock in connection with merger of Wilshire Bancorp, Inc. into HOPE Bancorp, Inc. (former BBCN Bancorp, Inc.)(the "Merger"). On the effective date of the Merger, the closing

Reporting Owners 2

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price of Wilshire's common stock was \$10.74 per share, and the closing price of HOPE Bancorp, Inc. was \$15.37 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.