## Edgar Filing: Lowenthal Robert S - Form 4

Lowenthal Rol Form 4	pert S										
December 29,	2017										
FORM	ORM 4								OMB A	PROVAL	
	UNITED	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								3235-0287	
Check this l if no longer subject to Section 16. Form 4 or		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: January 31, 2005 Estimated average burden hours per response 0.5	
Form 5 obligations may continu <i>See</i> Instruct 1(b).	ue. Section 17(	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Res	sponses)										
1. Name and Address of Reporting Person <u>*</u> Lowenthal Robert S			2. Issuer Name <b>and</b> Ticker or Trading Symbol OPPENHEIMER HOLDINGS INC				5. Relationship of Reporting Person(s) to Issuer				
		OPPENHE [OPY]				GS I	NC	(Check all applicable)			
(Last) (First) (Middle) 85 BROAD STREET			3. Date of Earliest Transaction (Month/Day/Year) 12/28/2017				X_ Director Officer (give below)				
	(Street)	<ul><li>4. If Amendment, Date Original Filed(Month/Day/Year)</li></ul>				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
NEW YORK,	NY 10004							Form filed by M Person			
(City)	(State)	(Zip)	<b>Table</b>	l - Non-Der	ivative Se	ecuriti	es Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Execut any	Execution Date, if	3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Class A				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
non-voting common stock	12/28/2017			J	3,755	А	<u>(1)</u>	34,602	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Class A non-voting common stock	<u>(1)</u>	12/28/2017		J	8,500	<u>(1)</u>	<u>(1)</u>	Class A non-voting common stock	3,755

## Edgar Filing: Lowenthal Robert S - Form 4

## **Reporting Owners**

Reporting Owner Name / Addre	Relationships							
F B	Director	10% Owner	Officer	Other				
Lowenthal Robert S 85 BROAD STREET NEW YORK, NY 10004	Х							
Signatures								
R.S. Lowenthal	12/29/2017							

Reporting Person **Explanation of Responses:** 

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The transaction describes the vesting of 8,500 shares of Restricted Class A non-voting common stock which were awarded on February (1) 25, 2013 and vested on accelerated basis from February 24, 2018 to December 28th, 2017 of which 3,755 Class A non-voting common shares were converted and 4,745 shares were forfeited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

\*\*Signature of