Faltemier Sharon K Form 4 January 07, 2019

## FORM 4

## OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16. Form 4 or Form 5 January 31,
Expires: 2005

Expires. 2005
Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Faltemier Sharon K |         |  | 2. Issuer Name and Ticker or Trading Symbol SEMTECH CORP [SMTC] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |  |
|--|---------|--|---|--|--|--|--|
| (Last)   | (First) | (First) (Middle) 3. Date of Earliest Transaction |   | (Check an applicable)  |  |  |  |
|  |         |  | (Month/Day/Year)  | Director 10% Owner   |  |  |  |
| 200 FLYNN ROAD   |         |  | 01/04/2019  | Officer (give title Other (specify below)  SVP HR  |  |  |  |
| (Street)   |         |  | 4. If Amendment, Date Original                                  | 6. Individual or Joint/Group Filing(Check  |  |  |  |
| CAMARILLO, CA 93012-8790                                     |         |  | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

| (City)     | (State)             | (Zip)             | able I - Non- | Derivative Securities Acqui | red, Disposed of, or Beneficially Owned |           |              |  |
|------------|---------------------|-------------------|---------------|-----------------------------|---|-----------|--------------|--|
| 1.Title of | 2. Transaction Date | 2A. Deemed        | 3.            | 4. Securities Acquired (A)  | 5. Amount of                            | 6.        | 7. Nature of |  |
| Security   | (Month/Day/Year)    | Execution Date, i | f Transaction | omr Disposed of (D)         | Securities                              | Ownership | Indirect     |  |

| Security        | (Month/Day/Year) | Execution Date, if | Transactio |            |           | ` /           | Securities       | Ownership                 | Indirect             |
|-----------------|------------------|--------------------|------------|------------|-----------|---------------|------------------|---------------------------|----------------------|
| (Instr. 3)      |                  | any                | Code       | (Instr. 3, | 4 and     | 5)            | Beneficially     | Form:                     | Beneficial           |
|                 |                  | (Month/Day/Year)   | (Instr. 8) |            |           |               | Owned Following  | Direct (D)<br>or Indirect | Ownership (Instr. 4) |
|                 |                  |                    |            |            |           |               | Reported         | (I)                       | (IIISu. 4)           |
|                 |                  |                    |            |            | (A)       |               | Transaction(s)   | (Instr. 4)                |                      |
|                 |                  |                    | Code V     | Amount     | or<br>(D) | Price         | (Instr. 3 and 4) | ,                         |                      |
| Common<br>Stock | 01/04/2019       |                    | M          | 20,000     | A         | \$ 30.82      | 33,386           | D                         |                      |
| Common<br>Stock | 01/04/2019       |                    | S          | 20,000     | D         | \$<br>45.5302 | 13,386           | D                         |                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

#### Edgar Filing: Faltemier Sharon K - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|--------------------------------------|---|--|--|--------|------------------|--------------------|---|-------------------------------------|
|   |   |                                      |   | Code V                                 | (A)  | (D)    | Date Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Option (right to buy)                               | \$ 30.82  | 01/04/2019                           |   | M                                      |  | 20,000 | 02/26/2014(2)    | 02/26/2019         | SMTC  | 20,000                              |

# **Reporting Owners**

| Reporting Owner Name / Address        | Relationships |           |         |       |  |  |  |
|---------------------------------------|---------------|-----------|---------|-------|--|--|--|
| · · · · · · · · · · · · · · · · · · · | Director      | 10% Owner | Officer | Other |  |  |  |
| Faltemier Sharon K                    |               |           |         |       |  |  |  |
| 200 FLYNN ROAD                        |               |           | SVP HR  |       |  |  |  |
| CAMARILLO, CA 93012-8790              |               |           |         |       |  |  |  |

# **Signatures**

/s/Sharon K. Faltemier by Charles B. Ammann under Power of Attorney dated February 25, 2014 (Copy On File)

01/07/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This price represents the weighted average of these sales. The prices ranged from \$45.3100 to \$45.7200. Price and share details are available upon request.
- (2) This grant vests in four annual installments beginning on February 26, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2